

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Blue and Gold Information System (BGIS)

2. DOD COMPONENT NAME:

Department of the Navy

3. PIA APPROVAL DATE:

08/09/21

United States Naval Academy (USNA)

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: foreign nationals are included in general public.)

- ☐ From members of the general public ☐ From Federal employees and/or Federal contractors
- ☒ From both members of the general public and Federal employees and/or Federal contractors ☐ Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one)

- ☐ New DoD Information System ☐ New Electronic Collection
- ☒ Existing DoD Information System ☐ Existing Electronic Collection
- ☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Blue and Gold Information System (BGIS) collects personal information from current and former military officers for purposes of serving as unpaid volunteer facilitators of midshipmen applicants and candidates for admission to the U.S. Naval Academy. The personal information collected by the system facilitates selection and administration of prospective Blue and Gold Officers. Additionally, Blue and Gold Officers utilize BGIS to submit an assessment of midshipmen applicant and candidate qualifications and suitability for admission to the U.S. Naval Academy.

PII collected are name, other names used, date of birth, gender, race/ethnicity, personal telephone number, home telephone number, personal email address, mailing/home address, and military branch of service/rank.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

PII is collected to verify, identify, authenticate, and/or data-match for various mission-related and administrative purposes.

e. Do individuals have the opportunity to object to the collection of their PII? ☒ Yes ☐ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

The PII collected is critical in ensuring selection and administration of Blue Gold Officer volunteers. Refusal to provide any/all of the mandatory PII will result in a delay or non-selection as a Blue Gold Officer.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

Collection, use, and maintenance of the information provided is strictly constrained in its use to only fulfill admissions and administrative requirements. Consent assumed when applicant applies for admission or participation in Naval Academy programs.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

- ☒ Privacy Act Statement ☒ Privacy Advisory ☐ Not Applicable

Individuals are notified by a Privacy Advisory and a Privacy Act Statement for electronic submissions and Privacy Act statements when utilizing paper submissions.

PAS: AUTHORITY: 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 5041, Headquarters, Marine Corps; 10 U.S.C. 8458, Midshipmen: Qualifications for Admission; 10 U.S.C. §10141, Ready Reserve; Standby Reserve; Retired Reserve; placement and status of members; training categories; 10 USC 10212, Gratuitous services of officers: authority to accept; DoDI 1322.22, Service Academies; SECNAVINST 1650.1J, Department of the Navy Military Awards Policy; OPNAVINST 1531.2C, The Naval Academy Information Program (NAIP); and OPM GOVT-1, General Personnel Records. PURPOSE: To evaluate applicants' qualifications for the Naval Academy Information Program, establish Naval Academy Information Officer Profiles, and obtain information for initiation of formal rosters in order to assist individuals with their progression through the Naval Academy. ROUTINE USE(S): Used by the office of admissions and area coordinators to evaluate candidate's eligibility and potential for the Naval Academy Information Program. Information profiles and formal rosters are maintained by admissions for use in accordance with USNAINST 1531.46C. DISCLOSURE: Voluntary. Failure to provide the required information may result in your application not being considered for the program.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component? (Check all that apply)

☒ Within the DoD Component

Specify.

Designated Military, USNA Civilians, and USNA Contractors associated with the Naval Academy Blue and Gold Program

☐ Other DoD Components

Specify.

☐ Other Federal Agencies

Specify.

☒ State and Local Agencies

Specify.

Congressional Staffs

☒ Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)

Specify.

Various (e.g. Adjunct Faculty, Naval Academy Athletic Association, etc.) contracts (or operating agreements) specify compliance with USNA instructions which incorporate FAR privacy clauses.

☒ Other (e.g., commercial providers, colleges).

Specify.

Blue and Gold Officers (usually retired Naval Officers)

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

☒ Individuals

☒ Databases

☒ Existing DoD Information Systems

☒ Commercial Systems

☐ Other Federal Information Systems

PII is directly input to the system from a variety of electronic and manual sources. Data is stored in a single primary database instance encompassing the following information systems:

Admissions Information System (AIS),
Blue and Gold Information System (BGIS),
Naval Academy Preparatory School Scholastic Tracking and Accountability System (NSTAR), and
Midshipmen Information System (MIDS).

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

☒ E-mail

☒ Official Form (Enter Form Number(s) in the box below)

☒ Face-to-Face Contact

☒ Paper

☒ Fax

☒ Telephone Interview

☒ Information Sharing - System to System

☒ Website/E-Form

☐ Other (If Other, enter the information in the box below)

USNA 1531-1, USNA 1531-7, and USNA 1531-5

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☒ Yes ☐ No

If "Yes," enter SORN System Identifier OPM GOVT-1/New SORN N01531-X and

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

SORN N01521-X: draft submitted to DPCLTD on May 18, 2021.

I. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. DAA-GRS-2016-0016-0001

(2) If pending, provide the date the SF-115 was submitted to NARA. July 14, 2021

(3) Retention Instructions.

Temporary: Destroy when business use ceases.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN N01531-1, USNA Applicants, Candidates, and Midshipmen Records (February 27, 2007, 72 FR 8702), authorities: 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 6956, 6957, 6958, 6962, and 6963; and E.O. 9397 (SSN), as amended.

SORN OPM GOVT-1, General Personnel Records, December 11, 2012, 77 FR 79694; modification published November 30, 2015, 80 FR 74815, authorities: 5 U.S.C. 1302, 2951, 3301, 3372, 4118, 8347, and Executive Orders 9397, as amended by 13478, 9830, and 12107.

Other authorities: 10 U.S.C. 5041, Headquarters, Marine Corps; 10 U.S.C. 8458, Midshipmen: Qualifications for Admission; 10 U.S.C. §10141, Ready Reserve; Standby Reserve; Retired Reserve; placement and status of members; training categories; 10 USC 10212, Gratuitous services of officers: authority to accept; DoDI 1322.22, Service Academies; SECNAVINST 1650.1J, Department of the Navy Military Awards Policy; OPNAVINST 1531.2C, The Naval Academy Information Program (NAIP).

A new SORN has been submitted to cover this collection.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☐ Yes ☐ No ☒ Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB for USNA 1531/I Number 0703-XXXX pending approval.