

SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235-0556]

Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 15b11-1 and Form BD-N

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("SEC" or "Commission") is submitting to the Office of Management and Budget ("OMB") this request for extension of the proposed collection of information provided for in Rule 15b11-1 (17 CFR 240.15b11-1) under the Securities Exchange Act of 1934 ("Exchange Act") (15 U.S.C. 78a *et seq.*) and Form BD-N (17 CFR 249.501b).

Rule 15b11-1 provides that a broker or dealer may register by notice pursuant to Section 15(b)(11)(A) of the Exchange Act (15 U.S.C. 78o(b)(11)(A)) if it: (1) is registered with the Commodity Futures Trading Commission as a futures commission merchant or an introducing broker, as those terms are defined in the Commodity Exchange Act (7 U.S.C. 1 *et seq.*); (2) is a member of the National Futures Association or another national securities association registered under Section 15A(k) of the Exchange Act (15 U.S.C. 78o-3(k)); and (3) is not required to register as a broker or dealer in connection with transactions in securities other than security futures products. The rule also requires a broker or dealer registering by notice to do so by filing Form BD-N in accordance with the instructions to the form. In addition, the rule provides that if the information contained in any notice of registration filed on Form BD-N is or becomes inaccurate for any reason, the broker or dealer shall promptly file an amendment on the form correcting such information.

The total industry-wide annual time burden imposed by Form BD-N is approximately 2.25 hours, based on approximately 9 responses (0 initial filings + 9 amendments). Each initial application filed on Form BD-N requires approximately 0.5 hours to complete and each amended Form BD-N requires approximately 0.25 hours to complete. (0 × 0.5 hours = 0 hours; 9 × 0.25 hours = 2.25 hours; 0 hours + 2.25 hours = 2.25 hours). The staff believes

that a notice-registered broker-dealer would have a Compliance Manager complete and file amendments on Form BD-N at a cost of \$385/hour.

Consequently, the staff estimates that the total monetized internal cost of compliance associated with the annual time burden is approximately \$866.25 per year (\$385/hour × 2.25 hours).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202512-3235-005 or email comment to MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov within 30 days of the day after publication of this notice, by March 16, 2026.

Dated: February 10, 2026.

Sherry R. Haywood,

Assistant Secretary.

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BILLING CODE 8011-01-P

SMALL BUSINESS ADMINISTRATION

Advisory Committee Charter Reestablishment

AGENCY: U.S. Small Business Administration (SBA).

ACTION: Notice of advisory committee charter reestablishment.

SUMMARY: Pursuant to sections 14(b) (1) and 9(c) of the Federal Advisory Act (Pub. L. 92-463) and consultation with the General Services Administration, the Small Business Administration has determined that the Audit and Financial Management Committee, the Council on Underserved Communities, and the Small Business Lending Advisory Council are in the public interest and essential to the conduct of agency business. Accordingly, the charters for these committees are reestablished for a two-year period, effective from the date they are filed with Congress.

DATES: Renewed through February 23, 2028.

FOR FURTHER INFORMATION CONTACT: Andrienne Johnson, Committee Management Officer (CMO), Office of the Administrator, (202) 205-6685 or FACA@sba.gov.

SUPPLEMENTARY INFORMATION:

Audit and Financial Management Advisory Board

The Audit and Financial Management Advisory Committee provide

independent advice and recommendations to the Small Business Administration on matters concerning financial management, including financial reporting, internal controls, audit processes, and compliance with applicable laws and government-wide standards. The SBA has determined that continuation of this Committee is in the public interest, as it provides structured, recurring advice from external financial management and audit experts that cannot be effectively obtained through internal staff resources or ad hoc consultations. The Committee's advice strengthens fiscal stewardship, audit readiness, and statutory compliance. Membership will be fairly balanced in terms of viewpoints and expertise within the financial management community and will be appointed in accordance with applicable statutory and regulatory requirements.

Council on Underserved Communities

The Council on Underserved Communities provides advice and recommendations to the Small Business Administration on programs, policies, and services affecting small businesses in historically underserved and underrepresented communities. The SBA has determined that continuation of this Council is in the public interest, as it supports Administration priorities to expand equitable access to economic opportunity and promotes inclusive economic growth. The Council provides structured, collective, and recurring advice informed by stakeholder experience that cannot be effectively performed through internal staff work or informal consultations. Membership will be fairly balanced in terms of viewpoints and expertise relevant to underserved business communities and appointed in accordance with applicable statutory and regulatory requirements.

Small Business Lending Advisory Council

The Small Business Lending Advisory Council provides advice, insights, and recommendations to the Small Business Administration on matters related to access to capital for small businesses, including lending policies, program effectiveness, and market conditions. The SBA has determined that continuation of this Council is in the public interest, as access to capital is central to small business growth and economic development. The Council provides structured, recurring advice from a broad cross-section of the small business lending community that cannot be replicated through internal analysis or ad hoc outreach.