

## ACE Privacy Act Statement and AES Certification Statements

### ACE Privacy Act Statement

*(All ACE users must check this Privacy Act Statement to log in to ACE for the first time)*



→ ⌂ ⌂ https://login.cbp.gov/s/Privacy 80% ☆

CBP Login.CBP.Gov Application  
Privacy Act Statement

Pursuant to 5 U.S.C. § 552a(e)(3), this Privacy Act Statement serves to inform you of the information collection on this website.

**AUTHORITY:**

U.S. Customs and Border Protection (CBP) is authorized to collect the information requested in the LOGIN.CBP.GOV site under 44 U.S.C. 3101, EO 9397 (SSN), as amended by EO 13487, and 44 U.S.C. 3534.

**PURPOSE:**

CBP uses LOGIN.CBP.GOV, an identity verification service, for its applications to provide multi-factor authentication to prove the identity of public users. Multi-factor authentication works by sending a verification code to an individual's mobile phone number or email address, who in turn must enter the verification code at login to successfully create an account. An individual can create an account at LOGIN.CBP.GOV by providing their name, email address, and password.

**ROUTINE USES:**

In general, CBP will not use the information provided for any reasons other than those identified in the purpose portion of this statement. In certain circumstances, the Department of Homeland Security (DHS) may share this information as a "routine use" on a case-by-case basis as required by law or necessary for a specific purpose. A complete list of the routine uses can be found in the system of records notices associated with this information collection, "DHS/ALL-037 E-Authentication Records System of Records" and "DHS/ALL-004 General Information Technology Access Account Records System of Records," which can be found on the Department's website at <http://www.dhs.gov/system-records-notices-sorn>

**CONSEQUENCES OF FAILURE TO PROVIDE INFORMATION:**

Providing this information to CBP is voluntary. However, not providing this information will prevent individuals from gaining access to authorized CBP information technology systems.

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## AES Certification Statements

*(Screen will show up to all users in first log in to AESDirect; must accept to continue)*

As a filer of Electronic Export Information (EEI) to the Automated Export System (AES), I understand and agree to comply with the following: Please check the following boxes to verify the AES Certification Statements.



Shipments that require filing in AES include, but are not limited to:

1. Goods valued over \$2,500 per commodity (Schedule B or HTSUSA), sent from one United States Principal Party in Interest (USPPI) to one consignee (in a single country of destination), on a single conveyance, on the same day (except non-licensed goods being exported to Canada)
2. Goods requiring an export license regardless of value or destination
3. Goods subject to the ITAR, but exempt from licensing requirements regardless of value or destination
4. Used self-propelled vehicles regardless of value or destination
5. Rough diamonds (classified under HS subheadings 7102.10, 7102.10 and 7102.31) regardless of value or destination



The Shipment Reference Number is a unique number created by the filer to identify a specific shipment in AES. This number CAN NOT be re-used for creating a new shipment.



The address reported for the USPPI in the AES filing must be the address where the goods begin their journey to the port of export.



If a shipment receives a Fatal Error or if shipment information changes after the date of export, the shipment record must be retrieved, corrected and resubmitted under the same Shipment Reference Number as soon as the error or updated information is known to the filer.



The Internal Transaction Number (ITN) is received once a shipment is accepted by the AES. The ITN, exemption or exclusion legend is required on the bill of lading, air waybill or other commercial documents as proof of filing.



The Foreign Trade Regulations are located here:  
<http://www.census.gov/foreign-trade/regulations/index.html>



If the goods being shipped are subject to any other government/regulatory entity, it is your responsibility to make this determination and comply accordingly.



All information provided herein are true and correct. I understand that civil and criminal penalties, including forfeiture and sale, may be imposed for making false or fraudulent statements herein, failing to provide the requested information or for violation of U.S. laws on exportation (13 U.S.C. Sec. 305; 22 U.S.C. Sec 401; 18 U.S.C. Sec. 1001; 50 U.S.C. App. 2410)

**ACCEPT**

**U.S. Customs & Border Protection**  
*U.S. Department of Homeland Security*

**AESDirect**

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