

Centers for Medicare & Medicaid Services (CMS) Quality Program Extraordinary Circumstances Exceptions (ECE) Request Form

A facility may request an exception, as specified by CMS, for the submission of quality reporting and value-based purchasing program data due to extraordinary circumstances beyond the facility's control. These circumstances may include (but are not limited to) natural disasters (such as a severe hurricane or flood), issues with CMS-designated information systems that directly affect the ability of the facility to submit data, or extreme circumstances that prevent facilities from electronic clinical quality measure (eCQM) or electronic health record (EHR)-based reporting. Please refer to the *Federal Register* and *Code of Federal Regulations* for program-specific rules on availability of this exception. To request an exception, please complete and submit this form.

For extraordinary circumstances affecting the submission of data, this form must be submitted **within 90 calendar days of the extraordinary circumstance, except in cases related to the submission of eCQMs under the Hospital Inpatient Quality Reporting and Hospital Outpatient Quality Reporting Programs which have an ECE Request deadline of April 1** following the end of the reporting period. At the latest, you should submit your ECE form no later than 90 days from the submission deadline for the quarter requested.

An asterisk (*) indicates required fields. All sections must be complete and specific in order for the CMS to consider the request.

Facility Contact Information

*Facility Name _____

*CMS Certification Number (CCN) _____

*National Provider Identifier Number (NPI) (ASC only) _____
(Place additional NPIs in Additional Comments section.)

***CEO/Designee Contact Information**

*Name _____ *Title _____

*Address (must include physical street address) _____

*City _____ *State _____ *Zip Code _____

*Telephone Number _____ *Extension _____

*Email Address _____

Additional Contact Information

Name _____ Title _____

Address (must include physical street address) _____

City _____ State _____ ZIP Code _____

Telephone Number _____ Extension _____

Email Address _____

***Dates**

*Date of Request _____ *Date of Extraordinary Circumstance _____

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***Program(s) and Program Requirement(s) for Which Facility is Requesting Exception**

Please indicate which program requirement(s) and reporting period(s) for each requirement which you are requesting exception for an extraordinary circumstance.

Program	Measure and/or Program Requirement	Reporting Periods
Ambulatory Surgical Center Quality Reporting (ASCQR) Program	☐ National Healthcare Safety Network (NHSN) Measures	
	☐ Web-based Measure(s)	
	☐ Patient-Reported Outcome-Based Performance Measure(s) (PRO-PMs)	
	☐ Outpatient and Ambulatory Surgical Consumer Assessment of Healthcare Providers and Systems (OAS CAHPS)	
	☐ Other (Please specify): _____	
End-Stage Renal Disease Quality Incentive Program (ESRD QIP)	☐ In-Center Hemodialysis Consumer Assessment of Healthcare Providers and Systems (ICH CAHPS) Survey	
	☐ National Healthcare Safety Network (NHSN)	
	☐ ESRD Quality Reporting System (EQRS)	
	☐ Validation	
	☐ Other (Please specify): _____	
Hospital-Acquired Condition (HAC) Reduction Program	☐ National Healthcare Safety Network (NHSN) Measures	
	☐ Validation	
	☐ Other (Please specify): _____	
Hospital Inpatient Quality Reporting (IQR) Program	☐ Chart-abstracted Measure(s)	
	☐ Electronic Clinical Quality Measures (eCQMs)	
	☐ Hybrid Measure(s)	
	☐ Patient-Reported Outcome-Based Performance Measure(s)	
	☐ Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey	
	National Healthcare Safety Network (NHSN) Measures	
	☐ Influenza Vaccination Coverage Among Healthcare Personnel	
	☐ COVID-19 Vaccination Coverage Among Health Care Personnel	
☐ Patient Safety Structural Measure		
☐ Web-based Measure(s)		

**Centers for Medicare & Medicaid Services (CMS) Quality Program
Extraordinary Circumstances Exceptions (ECE) Request Form**

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	☐ Population and Sampling	
	☐ Chart-abstracted Validation	
	☐ eCQM Validation	
	☐ Other (Please specify): _____	
Hospital Outpatient Quality Reporting (OQR) Program	☐ Chart-abstracted Measure(s)	
	☐ Web-based Measure(s)	
	☐ National Healthcare Safety Network (NHSN) Measures	
	☐ Electronic Clinical Quality Measures (eCQMs)	
	☐ Patient-Reported Outcome-Based Performance Measure(s)	
	☐ Outpatient and Ambulatory Surgical Consumer Assessment of Healthcare Providers and Systems (OAS CAHPS)	
	☐ Validation	
	☐ Other (Please specify): _____	
Hospital Readmissions Reduction Program (HRRP)	☐ Other (Please specify): _____	
Hospital Value-Based Purchasing (VBP) Program	☐ Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey	
	☐ NHSN Healthcare-associated infection (HAI) Measure(s)	
	☐ Severe Sepsis and Septic Shock Management Bundle (Composite Measure)	
	☐ Other (Please specify): _____	
Inpatient Psychiatric Facility Quality Reporting (IPFQR) Program	☐ Chart-abstracted Measure(s)	
	☐ Web-based Measure(s)	
	☐ National Healthcare Safety Network (NHSN) Measure(s)	
	☐ Other (Please specify): _____	
Rural Emergency Hospital Quality Reporting (REHQR) Program	☐ Chart-abstracted Measure(s)	
	☐ Web-based Measure(s)	
	☐ Other (Please specify): _____	

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Extraordinary Circumstances Exceptions (ECE) Request Form**

Program	Measure and/or Program Requirement	Reporting Periods
PPS-Exempt Cancer Hospital Quality Reporting (PCHQR) Program	☐ Web-based Measure(s)	
	☐ Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey	
	☐ National Healthcare Safety Network (NHSN) Measure(s)	
	☐ Other (Please specify): _____	

Exception or Extension Request Information

*Date ECE relief would end _____

***Provide justification for the ECE end date.**

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***Enter specific reasons for requesting an exception. Please include the specific requirements or data for which you are seeking an exception. Please indicate how the extraordinary circumstance prevented your facility from submitting accurate data for the measure(s) for which an exception is being sought (if applicable). Attach supporting documentation when necessary.**

***Provide evidence of the impact of the extraordinary circumstance including (but not limited to) photographs, web links, newspaper, and other media articles. Attach supporting documentation when necessary.**

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Extraordinary Circumstances Exceptions (ECE) Request Form**

Additional Comments (Attach additional documentation/comments if necessary.)

*CEO/Designee Signature: _____ *Date: _____

Extraordinary Circumstances Exceptions Request Form Submission Instructions

Complete and submit this form, via the *Hospital Quality Reporting Secure Portal*, Managed File Transfer to QRFormsSubmission@hsag.com. You may instead submit via email to QRFormsSubmission@hsag.com or secure fax to (877) 789-4443.

For ESRD QIP only, please complete and submit this form to the ESRD QIP mailbox at esrdqps-admin@arborresearch.org.

Following receipt of the request form, CMS will (1) Provide a written acknowledgement using the contact information provided in the request, to the CEO and any additional designated facility personnel, notifying them that the facility's request has been received and (2) provide a formal response to the CEO and any additional designated facility personnel using the contact information provided in the request notifying them of our decision. CMS will strive to complete its review of each ECE request within 90 calendar days of receipt of the request.

PRA Disclosure Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1022 (Expires 01-31-2026)**. The time required to complete this information collection is estimated to average 15 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, MD 21244-1850. ******CMS Disclosure**** Please do not send applications, claims, payments, medical records, or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact the Inpatient and Outpatient Healthcare Quality Systems Development and Program Support at (844) 472-4477.**