

| NOTICE OF DEATH AND<br>REQUEST FOR<br>SERVICE NEEDED FOR ELIGIBILITY                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |  | Section 1 – Identifying Information |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
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|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  | 1. Social Security No.              |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| 2. Railroad Name and Address<br><br><br><br><br><br><br><br>Facsimile Number:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |  | 3. Name of Deceased Employee        |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  | 4. BA No.                           |   |   |   | 5. Payroll Number |                |   |   | 6. Date Last Worked<br>or Paid for Time Lost |   |     |   |              |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  | 7. Date of Birth                    |   |   |   | 8. Date of Death  |                |   |   | 9. Date Released                             |   |     |   |              |  |  |
| Paperwork Reduction Act Notice                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| <p>The Railroad Retirement Board's (RRB) authority for requesting this information is Section 7(b)(6) of the Railroad Retirement Act (RRA) (45 U.S.C. 231f(b)(6)). The information requested is used by the RRB to determine a person's eligibility for a survivor benefit under Section 2 of the RRA (45 U.S.C. Sec. 231a).</p> <p>We estimate this form takes an average of 5 minutes per response, including the time for reviewing the instructions, getting the needed data, and reviewing the completed form. Federal agencies may not conduct or sponsor, and respondents are not required to respond to, a collection of information unless it displays a valid OMB number. If you wish, send any comments regarding the accuracy of our estimate or any other aspect of this form, including suggestions for reducing the completion time, to the Associate Chief Information Officer for Policy and Compliance, Railroad Retirement Board, 844 North Rush Street, Chicago, IL 60611-1275.</p>                                                                                                                                                                                                      |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| Section 2 - Employer Instructions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| <p>The survivor(s) of the deceased employee has filed for benefits under the Railroad Retirement Act (RRA). The applicant provided the information shown in Items 6 and 8. Verification of the lag service is required for eligibility to the survivor benefit.</p> <ul style="list-style-type: none"><li>• <b>Complete Item 10 below <u>only</u></b> if the date in Item 6 differs from the date on your records.</li><li>• <b>Always complete</b> Items 11 and 13.</li><li>• Fax this form to (312) 751-7129 or mail it to the U.S. Railroad Retirement Board, Retirement and Survivor Benefits Division – Survivor Initial Section, 844 North Rush Street, Chicago IL 60611-1275, <b>within 10 days of the date released by the RRB</b>. The survivor cannot be awarded an annuity until we receive this information.</li></ul> <p><b>IMPORTANT NOTE:</b> This employee's service months and compensation must also be included on your Form BA-3, Annual Report of Creditable Compensation. Do not report service months after the date of death. If you have any questions, refer to the "Reporting Instructions to Employers" or telephone the Quality Reporting Service Center at (312) 751-4992.</p> |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| 10. Date Employee Last Worked or Paid for Time Lost on Your Records →                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |  |                                     |   |   |   |                   |                |   |   | Month                                        |   | Day |   | Year         |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| 11. Indicate with an "X," months the employee had service. The "Current Year" refers to the year shown in Item 6. "Prior Year" is the year before. If this form will be submitted before your annual report for the prior year, complete items about the prior year as well. Do not report service months after the date in Item 8.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  | J                                   | F | M | A | M                 | J              | J | A | S                                            | O | N   | D | TOTAL MONTHS |  |  |
| Current Year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| Prior Year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| 12. REMARKS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| Section 3 - Employer Certification                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| 13. I understand that civil and criminal penalties can be imposed against me for false or fraudulent statements or for withholding information to misrepresent a fact material to determining a right to payment under the Railroad Retirement Act. I certify that, to the best of my knowledge, the information which I have given is true, complete, and correct.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |  |                                     |   |   |   |                   |                |   |   |                                              |   |     |   |              |  |  |
| Signature of Certifying Officer                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |  |                                     |   |   |   |                   |                |   |   | Date                                         |   |     |   |              |  |  |
| Title of Certifying Officer                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |  |                                     |   |   |   |                   |                |   |   | Telephone No.<br>(     )                     |   |     |   |              |  |  |
| Facsimile No.<br>(     )                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |  |                                     |   |   |   |                   | E-Mail Address |   |   |                                              |   |     |   |              |  |  |

