



U.S. Securities and Exchange Commission

Office of Investor Education and Assistance

OMB Number: 3235-0547
Expires: August 31, 2026
Estimated average burden
hours per response: 0.25

Investor Questions and Feedback Form

Please use this form to send us your questions and comments. If you have a complaint about your broker or a securities transaction, you will get better results by using our online Complaint Center.

Although we use secure socket layer encryption, do not hesitate to print this form and send it by mail or fax if you have any concerns about security. Please read our Privacy Act Notice to learn more about how we may use the information you send to us.

Tell Us About Yourself (*You must complete this information)

Title _____

First name* _____ Middle initial _____

Last name* _____

Daytime phone _____

E-mail* _____

Please Enter Your Question or Feedback

This collection of information has been reviewed by the Office of Management and Budget (OMB) in accordance with the clearance requirements of 44 U.S.C. §3507. The applicable Privacy Act system of records (SORN) is SEC 31 and the routine uses of the records are set forth at 85 FR 85440 (Dec. 28, 2020). This SORN is exempt from certain sections of the Privacy Act and the citation for the rule exempting the notice is 76 FR 57636 (September 16, 2011). <https://www.sec.gov/oiea/Complaint.html>

To mail back to the SEC, please fold this document in half, secure with tape, and mail.



NO POSTAGE
NECESSARY
IF MAILED
IN THE
UNITED STATES



BUSINESS REPLY MAIL
FIRST-CLASS MAIL 121 WASHINGTON DC

POSTAGE WILL BE PAID BY ADDRESSEE

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
ATTN:OIEA
100 F ST NE
WASHINGTON DC 20549

