

• Send an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include file number SR–NYSE–2026–30 on the subject line.

#### Paper Comments

• Send paper comments in triplicate to Secretary, Securities and Exchange Commission, 100 F Street NE, Washington, DC 20549–1090. All submissions should refer to file number SR–NYSE–2026–30. This file number should be included on the subject line if email is used. To help the Commission process and review your comments more efficiently, please use only one method. The Commission will post all comments on the Commission's internet website (<https://www.sec.gov/rules/sro.shtml>). Copies of the filing will be available for inspection and copying at the principal office of the Exchange. Do not include personal identifiable information in submissions; you should submit only information that you wish to make available publicly. We may redact in part or withhold entirely from publication submitted material that is obscene or subject to copyright protection. All submissions should refer to file number SR–NYSE–2026–30 and should be submitted on or before July 23, 2026.

For the Commission, by the Division of Trading and Markets, pursuant to delegated authority.<sup>13</sup>

**Sherry R. Haywood,**

*Assistant Secretary.*

[FR Doc. 2026–13356 Filed 7–1–26; 8:45 am]

**BILLING CODE 8011–01–P**

## SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0756]

### Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 147(f)(1)(iii) Written Representation as to Purchaser Residency

*Upon Written Request, Copies Available From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) has submitted to the Office of Management and Budget this request for extension of the previously approved collection of information discussed below.

Rule 147 (17 CFR 230.147) provides a safe harbor pursuant to which an offering will be deemed to be in compliance with Section 3(a)(11) of the Securities Act of 1933 (“Securities Act”), which provides an exemption from the Securities Act’s registration requirements for intrastate offerings. Rule 147 is intended to facilitate intrastate and regional securities offerings. Among other things, Rule 147(f)(1)(iii) requires issuers relying on the rule to “obtain a written representation from each purchaser as to his or her residence.” The written representation required under Rule 147(f)(1)(iii) is intended to help ensure that the issuer’s offering is, in fact, an intrastate offering. The information required by Rule 147(f)(1)(iii) is mandatory, and although the information required by Rule 147(f)(1)(iii) is not publicly filed with the Commission, there are no assurances of confidentiality provided with respect to such information. Approximately 700 respondents provide the information required by Rule 147(f)(1)(iii) annually at an estimated 2.75 hours per response and \$150 per response for a total annual reporting burden of 1,925 hours (2.75 hours per response × 700 responses annually) and a total annual cost burden of \$105,000 (\$150 per response × 700 responses annually).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: [https://www.reginfo.gov/public/do/PRAViewICR?ref\\_nbr=202604-3235-004](https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202604-3235-004) or send an email comment to [MBX.OMB.OIRA.SEC\\_desk\\_officer@omb.eop.gov](mailto:MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov) within 30 days of the day after publication of this notice by August 3, 2026.

Dated: June 30, 2026.

**Sherry R. Haywood,**

*Assistant Secretary.*

[FR Doc. 2026–13457 Filed 7–1–26; 8:45 am]

**BILLING CODE 8011–01–P**

## SECURITIES AND EXCHANGE COMMISSION

[OMB Control No. 3235–0757]

### Agency Information Collection Activities; Submission for OMB Review; Comment Request; Extension: Rule 147A(f)(1)(iii) Written Representation as to Purchaser Residency

*Upon Written Request, Copies Available From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (“Commission”) has submitted to the Office of Management and Budget this request for extension of the previously approved collection of information discussed below.

Rule 147A (17 CFR 230.147A) provides an exemption from registration under Section 5 of the Securities Act of 1933 for certain intrastate offerings. Rule 147A is intended to facilitate intrastate and regional securities offerings. Among other things, Rule 147A(f)(1)(iii) requires issuers relying on the rule to “obtain a written representation from each purchaser as to his or her residence.” The written representation required under Rule 147A(f)(1)(iii) is intended to help ensure that the issuer’s offering is, in fact, an intrastate offering. The information required by Rule 147A(f)(1)(iii) is mandatory, and although the information required by Rule 147A(f)(1)(iii) is not publicly filed with the Commission, there are no assurances of confidentiality provided with respect to such information. Approximately 700 respondents provide the information required by Rule 147A(f)(1)(iii) annually at an estimated 2.75 hours per response and \$150 per response for a total annual reporting burden of 1,925 hours (2.75 hours per response × 700 responses annually) and a total annual cost burden of \$105,000 (\$150 per response × 700 responses annually).

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB Control Number.

The public may view and comment on this information collection request at: [https://www.reginfo.gov/public/do/PRAViewICR?ref\\_nbr=202604-3235-005](https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=202604-3235-005) or send an email comment to [MBX.OMB.OIRA.SEC\\_desk\\_officer@omb.eop.gov](mailto:MBX.OMB.OIRA.SEC_desk_officer@omb.eop.gov) within 30 days of the day

<sup>13</sup> 17 CFR 200.30–3(a)(12).

after publication of this notice by August 3, 2026.

Dated: June 30, 2026.

**Sherry R. Haywood,**

*Assistant Secretary.*

[FR Doc. 2026–13456 Filed 7–1–26; 8:45 am]

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## SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–105795; File No. SR–NYSETEX–2026–23]

### Self-Regulatory Organizations; NYSE Texas, Inc.; Notice of Filing and Immediate Effectiveness of Proposed Rule Change To Amend Rule 7.31, Orders and Modifiers

June 29, 2026.

Pursuant to Section 19(b)(1)<sup>1</sup> of the Securities Exchange Act of 1934 (“Act”)<sup>2</sup> and Rule 19b–4 thereunder,<sup>3</sup> notice is hereby given that, on June 16, 2026, the NYSE Texas, Inc. (“NYSE Texas” or the “Exchange”) filed with the Securities and Exchange Commission (the “Commission”) the proposed rule change as described in Items I and II below, which Items have been prepared by the self-regulatory organization. The Commission is publishing this notice to solicit comments on the proposed rule change from interested persons.

#### I. Self-Regulatory Organization’s Statement of the Terms of Substance of the Proposed Rule Change

The Exchange proposes to amend Rule 7.31 (Orders and Modifiers) relating to Limit Orders. The proposed rule change is available on the Exchange’s website at [www.nyse.com](http://www.nyse.com) and at the principal office of the Exchange.

#### II. Self-Regulatory Organization’s Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the self-regulatory organization included statements concerning the purpose of, and basis for, the proposed rule change and discussed any comments it received on the proposed rule change. The text of those statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant parts of such statements.

#### A. Self-Regulatory Organization’s Statement of the Purpose of, and the Statutory Basis for, the Proposed Rule Change

##### 1. Purpose

The Exchange proposes to amend Rule 7.31 (Orders and Modifiers) to provide for the operation of routable Limit Orders as Inside Limit Orders, unless otherwise specified.

Rule 7.31(a)(2) defines a Limit Order as an order to buy or sell a stated amount of a security at a specified price or better. Unless otherwise specified, the working price and the display price of a Limit Order equal the limit price of the order, it is eligible to be routed, and it is ranked Priority 2—Display Orders.

Rule 7.31(a)(2)(A) currently provides that a marketable Limit Order to buy (sell) will trade with all sell (buy) orders on the Exchange Book priced at or below (above) the PBO (PBB) before routing to the PBO (PBB) and may route to prices higher (lower) than the PBO (PBB) only after trading with orders to sell (buy) on the Exchange Book at each price point. Once no longer marketable, the Limit Order will be ranked and displayed on the Exchange Book.

The Exchange proposes to amend its rules to provide that routable Limit Orders would no longer operate as described in current Rule 7.31(a)(2)(A), but would instead function like an Inside Limit Order as described in current Rule 7.31(a)(3).

Rule 7.31(a)(3) defines an Inside Limit Order as a Limit Order that is to be traded at the best price obtainable without trading through the NBBO. On arrival, a marketable Inside Limit Order to buy (sell) is assigned a working price of the NBO (NBB) and will trade with all sell (buy) orders on the Exchange Book priced at or below (above) the NBO (NBB) before routing to the NBO (NBB) on an Away Market. Once the NBO (NBB) is exhausted, the Inside Limit Order to buy (sell) will be displayed at its working price and be eligible to trade with incoming sell (buy) orders at that price. When the updated NBO (NBB) is displayed, the Inside Limit Order to buy (sell) will be assigned a new working price of the updated NBO (NBB) and will trade with all sell (buy) orders on the Exchange Book priced at or below the updated NBO (NBB) before routing to the updated NBO (NBB) on an Away Market. Such assessment will continue at each new NBO (NBB) until the order is filled, no longer marketable, or the limit price is reached. Once the order is no longer marketable, it will be ranked and displayed in the Exchange Book. An Inside Limit Order may not be

designated as a Limit IOC Order but may be designated as a Limit Routable IOC Order. An Inside Limit Order to buy (sell) designated as a Limit Routable IOC Order will trade with sell (buy) orders on the Exchange Book priced at or below (above) the NBO (NBB) and the quantity not traded will be routed to the NBO (NBB). Any unfilled quantity not traded on the Exchange or an Away Market will be cancelled.

The purpose of the Inside Limit Order is to assess away market displayed interest on a price-by-price basis, thereby slowing down the routing of such order, rather than simultaneously routing an order to away markets at potentially multiple prices as a Limit Order would. For example, if the NBBO is \$10.10 by \$10.12, and the Exchange receives a Limit Order to buy with a limit price of \$10.15, in addition to executing with the interest on the Exchange Book, the Exchange will route the balance of the order to all protected quotes, including quotes with an inferior price than the NBO (e.g., any protected offers priced at \$10.13 or higher), up to the Limit Order price of \$10.15. By contrast, an Inside Limit Order with a price of \$10.15 would be matched with interest on the Exchange Book and routed only to away market interest priced at the NBO of \$10.12. After routing to the \$10.12 offer(s), Exchange systems will wait for the NBBO to update and then reevaluate the next best displayed offer price, and route to that single price point and continue such assessment at each price point until either the order has been filled, or there is no further interest available to satisfy the Limit Order price either at the Exchange or at away markets. The proposed change to have routable Limit Orders function as Inside Limit Orders, unless otherwise specified, would eliminate routing of Limit Orders as currently described in Rule 7.31(a)(2)(A) and would instead offer market participants opportunities to obtain improved executions by waiting for changes to the NBBO via Inside Limit Order functionality as described in Rule 7.31(a)(3).<sup>4</sup> The Exchange is not obligated to offer order routing as currently outlined in Rule 7.31(a)(2)(A) for Limit Orders and will no longer offer such optional routing, as proposed. Accordingly, to the extent market participants wish to have

<sup>4</sup> The Exchange notes that Inside Limit Order functionality as set forth in Rule 7.31(a)(3) has been available to market participants since 2019; the Commission has thus had the opportunity to consider this order type and any potential issues it raises. See Securities Exchange Act Release No. 87264 (October 9, 2019), 84 FR 55345 (October 16, 2019) (SR–NYSECHX–2019–08).

<sup>1</sup> 15 U.S.C. 78s(b)(1).

<sup>2</sup> 15 U.S.C. 78a.

<sup>3</sup> 17 CFR 240.19b–4.