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You are receiving a compliance review questionnaire in connection with your organization's participation in the EU-U.S. Data Privacy Framework (EU-U.S. DPF) and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. Data Privacy Framework (Swiss-U.S. DPF). Under the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF, the U.S. Department of Commerce's International Trade Administration (ITA) monitors effective compliance, including through sending questionnaires to participating organizations, to identify issues that may warrant further follow-up action. In particular, such compliance reviews shall take place when: (a) the Department has received specific, non-frivolous complaints about an organization's compliance with the DPF Principles, (b) an organization does not respond satisfactorily to inquiries by the Department for information relating to the relevant part(s) of the DPF program, or (c) there is credible information that an organization does not comply with its commitments under the relevant part(s) of the DPF program.

Failure to respond to this request within 30 days may be subject to enforcement action by the Federal Trade Commission, the U.S. Department of Transportation, or other enforcement authorities.

Compliance Review Questionnaire

- 1) Please confirm that: (i) you are authorized to make representations on behalf of your organization and its covered U.S. entities and U.S. subsidiaries regarding its adherence to the DPF Principles; (ii) the information submitted to the U.S. Department of Commerce for purposes of self-certification, including with regard to personal data received in reliance on its participation in the relevant part(s) of the DPF program, is accurate and correct; (iii) you understand that misrepresentations in any information provided to the Department may be actionable under the False Statements Act, 18 U.S.C. § 1001; and (iv) you understand that failure to adhere to the DPF Principles with regard to such personal data may lead to enforcement actions by the relevant enforcement authority.

- 2) Please provide the following information concerning the organization that self-certified its adherence to the DPF Principles:
 - a. Organization Name;

 - b. Organization Contact (the individual and/or office within your organization handling complaints, access requests, and any other issues concerning your organization's compliance with the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF);

- i. Name;
 - ii. Job title;
 - iii. Phone number; and
 - iv. E-mail address
- c. Organization Corporate Officer (the individual certifying your organization's compliance with the the EU-U.S. DPF and, as applicable, the UK Extension to the EU-U.S. DPF, and/or the Swiss-U.S. DPF);
 - i. Name;
 - ii. Job title;
 - iii. Phone number; and
 - iv. E-mail address
- d. Mailing Address

You are receiving this questionnaire with regard to the following matter:

3) Has the organization received any individual complaints regarding the matter described above? If it has, please describe when any such complaint was received, how it was handled and the outcome, and provide any relevant documentation.

4) Has this matter been presented to an alternative dispute resolution provider, an EU data protection authority (DPA), the UK Information Commissioner's Office (ICO), and/or the Swiss Federal Data Protection and Information Commissioner (FDPIC)? If it has, please describe when it was presented and the outcome, and provide any relevant documentation.

5) Has the organization otherwise reviewed this matter? If it has, please describe when any such review was conducted and the outcome, and provide any relevant documentation

6) [Potential additional organization or issue-specific questions, if appropriate.]

7) Please provide any additional information or documentation regarding this matter.

Privacy Act Notice: The U.S. Department of Commerce's International Trade Administration (ITA) collects the information requested in this form to administer and oversee participation in the Data Privacy Framework (DPF) program, including to determine whether any follow up or referral to appropriate enforcement authorities is warranted. The information includes business contact details and information about your organization's DPF program-related practices and will be used to assess, as applicable, eligibility for or continued participation in the DPF program and to support related oversight activities. Providing this information is voluntary; however, failure to provide complete and accurate information may, as applicable, affect your organization's participation in the DPF program and may result in referral to appropriate enforcement authorities for further action.