



# Department of Justice

## Corporate Whistleblower Awards Pilot Program

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### \* What type of submission are you making?

Submitting new information to the Corporate Whistleblower Awards Pilot Program.

Submitting supplemental information regarding a tip that you already provided to the Corporate Whistleblower Awards Pilot Program. You must have your CWA ID number ready in order to provide additional information about a previously filed tip.

Seeking general information about the Corporate Whistleblower Awards Pilot Program.

***NOTICE:*** You must submit your information using this online system. After submitting a completed form, you will receive an e-mail confirming that your submission has been received successfully. A whistleblower award is made in the Department's sole discretion. Please review our [FAQs](#) if you have questions.

a completed form, you will receive an e-mail confirming that your submission has been received successfully. A whistleblower award is made in the Department's sole discretion. Please review our [FAQs](#) if you have questions.

#### PRIVACY ACT STATEMENT

The authority by which information is collected on this website form is 5 U.S.C. § 301 and 44 U.S.C. § 3101. Your disclosure of information to the Department of Justice on this form is voluntary. If you do not complete all or some information fields in this form, however, the Department of Justice may not be able to effectively respond.

The principal purpose for collecting this information on this form is to collect, review, and respond to correspondence received by the Department regarding potential criminal conduct to assist the Department in making an initial award eligibility determination. In addition to disclosing this information to the appropriate officials and employees within the Department for the purposes of controlling, tracking, responding to, and maintaining the Department's correspondence, the routine uses which may be made of the information collected on this form include, but are not limited to: any civil or criminal law enforcement authority or other appropriate agency where a record, either on its face or in conjunction with other information, indicates a violation or potential violation of law; to contractors and others performing or working on an assignment for the Federal government; and under such circumstances and procedures as are mandated by federal statute.

The full list of routine uses for this correspondence can be found in the System of Records Notice titled, JUSTICE/DOJ-003, "Correspondence Management Systems (CMS) for the Department of Justice," [66 Fed. Reg. 29992](#) (6-04-2001); [66 Fed. Reg. 34743](#) (6-29-2001); [67 Fed. Reg. 65598](#) (10-25-2002); [82 Fed. Reg. 24147](#) (5-25-2017).

#### DISCLAIMER:

This webform is authorized by the United States Department of Justice and controlled by a third-party vendor, Qualtrics. Reference in this webform to any specific commercial product, process, service, manufacturer, or company is not an endorsement or recommendation by the United States Government or the United States Department of Justice. The information made available to, collected, stored, and used by the Department is done so consistent with the [DOJ Privacy Policy](#). The third-party vendor collects certain user information when users access the site consistent with its obligations under its contract with the Department, which is consistent with [Qualtrics' Privacy Policy](#).

# Department of Justice Corporate Whistleblower Awards Pilot Program

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## General Information about the Whistleblower

If you do not know the information requested, or if the information requested is not applicable to your submission, please indicate “N/A” in the appropriate text field.


\* 1. Do you wish to proceed anonymously?

Yes  No

\* 2. Are you represented by counsel in connection with this report?

Yes  No

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 **U.S. Department of  
Justice  
Criminal Division**  
950 Pennsylvania Avenue, NW  
Washington, DC 20530-0001  
Criminal.Division@usdoj.gov



General Information about the Whistleblower



General Information about the Reportable Conduct, Event, or Activity



Other Information about your Submission



Program Eligibility



Upload Supporting Documentation



Review and Submit



Signature and Attestation



Complete

### 3. Counsel Information

**Note:** If you are proceeding anonymously, you **must** provide the name of your counsel representing you in this matter. You **cannot** proceed anonymously without counsel.

\* First Name

\* Last Name

\* Firm Name

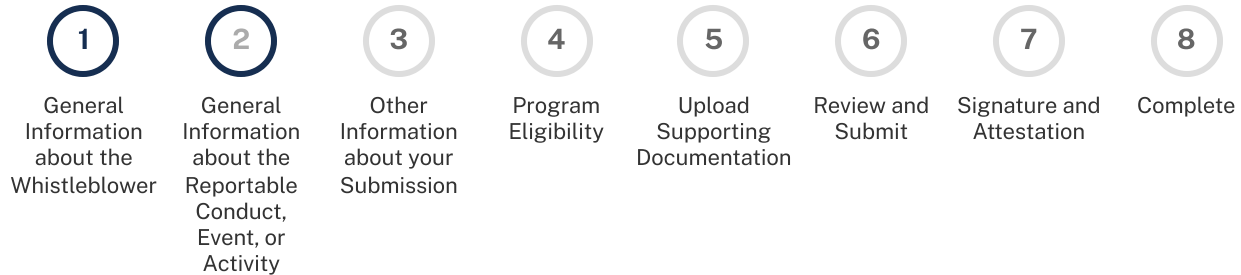
\* Street Address

\* City

State

\* Zip or Postal Code



## General Information about the Reportable Conduct, Event, or Activity

*If you do not know the information requested, or if the information requested is not applicable to your submission, please indicate "N/A" in the appropriate text field.*

**\* 4. Identify the area(s) to which your information relates:**

Violations by financial institutions, their insiders, or agents, including schemes involving money laundering, anti-money laundering compliance violations, registration of money transmitting businesses, and fraud statutes, and fraud against or non-compliance with financial institution regulators.

Violations related to foreign corruption and bribery by, through, or related to companies, including violations of the Foreign Corrupt Practices Act, violations of the Foreign Extortion Prevention Act, and violations of the money laundering statutes.

Violations committed by or through companies related to the payment of bribes or kickbacks to domestic public officials, including but not limited to federal, state, territorial, or local elected or appointed officials and officers or employees of any government department or agency.

..... NAME

\* Street Address

\* City

State

\* Zip or Postal Code

Country

\* Phone Number

\* E-mail Address



**U.S. Department of  
Justice  
Criminal Division**

950 Pennsylvania Avenue, NW

support of terrorism, or cartels and transnational criminal organizations including money laundering, narcotics, Controlled Substances Act, and other violations.

None of the above. My information relates to

**\* 5. Tell us about your complaint or tip, including:**

a. Brief description of misconduct:

0/3000

b. Date(s) the misconduct happened:

0/3000

c. When you learned of the misconduct:

0/3000

d. How you learned of the misconduct:

0/3000

e. Is the misconduct ongoing? If not, approximately when did it end?

0/3000

f. All the facts relevant to the alleged misconduct and why you think the acts are criminal:

...the misconduct ongoing in the, approximately when and where...

0/3000

f. All the facts relevant to the alleged misconduct and why you think the acts are criminal:

0/3000

**6. What companies or individuals are involved in the misconduct?**

\* a. Name of companies or individuals:

\* b. Description of involvement:

c. Address:

d. Telephone Number:

e. Email Address:

\* **7. Describe all supporting materials that you have and any supporting materials that are not in your possession. If the materials are not in your possession, identify who has the materials or where they can be found.**

\* 8. How did you obtain (a) the information that you are providing today and (b) the materials that support your submission.

0/3000

9. Did you receive any of your information from a lawyer? (If you received information from a meeting that lawyers attended or an email chain where lawyers were copied, click "Yes.")

Yes

No

\* 10. If you received any of the information from a public source, identify the source and provide information about how to access that source.

0/3000



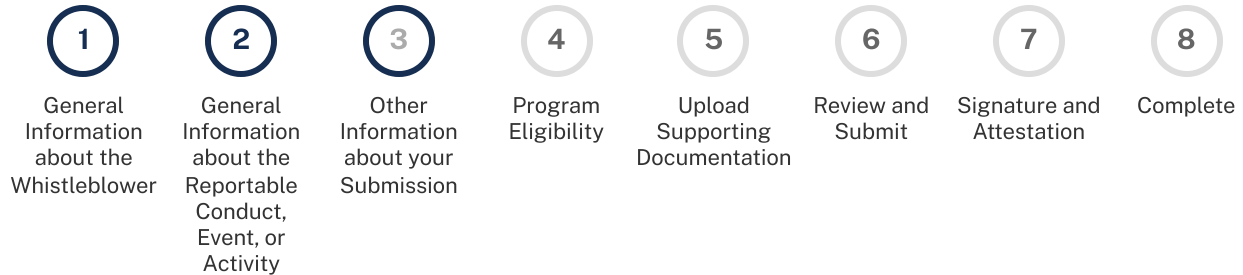
**U.S. Department of  
Justice**

**Criminal Division**

950 Pennsylvania Avenue, NW

Washington, DC 20530-0001

Criminal.Division@usdoj.gov



## Other Information about your Submission

*If you do not know the information requested, or if the information requested is not applicable to your submission, please indicate "N/A" in the appropriate text field.*

\* **11. Have you reported the conduct at issue to your supervisor, compliance office or personnel, whistleblower hotline, ombudsman, or any other available mechanism for reporting possible violations at the company you are complaining about?**

Yes  No

\* **12. Has anyone taken any steps to prevent or stop you from disclosing the information either internally within the company or to any other law enforcement authority?**

Yes  No

\* **13. Did anyone retaliate against you for reporting the conduct at issue?**

Yes  No

\* **14. Have you reported the conduct at issue in this submission to any other federal, state, local, tribal, or international law enforcement agencies or authorities?**

\* **13. Did anyone retaliate against you for reporting the conduct at issue?**

Yes  No

\* **14. Have you reported the conduct at issue in this submission to any other federal, state, local, tribal, or international law enforcement agencies or authorities?**

Yes  No

\* **14a. I agree to update the Department if I report to another agency after making this report within seven days of my report to another agency.**

Yes

\* **15. Has any other agency or organization requested information about your submission from you?**

Yes  No



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**Criminal Division**

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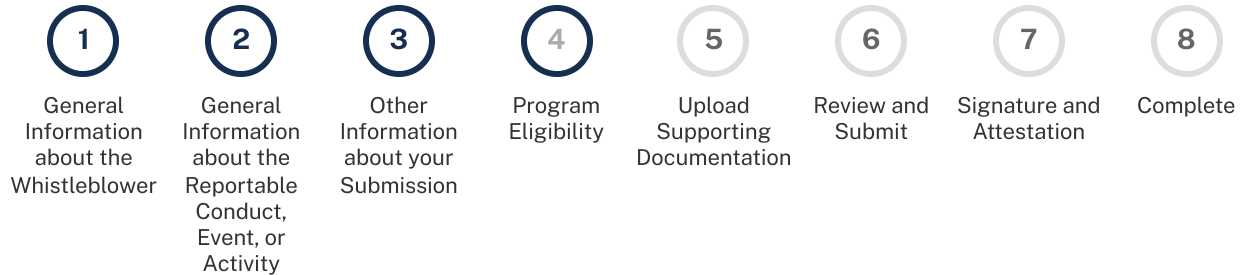
Washington, DC 20530-0001

Criminal.Division@usdoj.gov



Criminal Division Citizen Phone  
Line

202-353-4641



## Program Eligibility

*If you do not know the information requested, or if the information requested is not applicable to your submission, please indicate “N/A” in the appropriate text field.*

**\* 16. At the time you acquired the information that is the subject of your submission, did you have a management or decision-making role over the personnel or offices involved in the misconduct?**

Yes  No

**\* 17. At the time you acquired the information that is the subject of your submission, were you (a) a Department of Justice official, employee, or contractor at any level — including any federal law enforcement employee, (b) a spouse, parent, child, or sibling of the Department official, employee, or contractor, or (c) residing in the same household as the Department official, employee, or contractor?**

Yes  No

**\* 18. At the time you acquired the information that is the subject of your submission, were you an elected or appointed foreign government official?**

Yes  No

Yes  No

\* **18. At the time you acquired the information that is the subject of your submission, were you an elected or appointed foreign government official?**

Yes  No

\* **19. Did you participate in the conduct being reported?**

Yes  No

\* **20. Did you obtain the information in connection with the legal representation of a client on whose behalf you or your employer or firm are providing services?**

Yes  No

\* **21. Does any of your information come from something you saw or heard: (1) online or in the news; (2) in court; or (3) in a government hearing, report, audit, or investigation?**

Yes  No

\* **22. Did you obtain the information because:**

|  | Yes                   | No                    |
|--|-----------------------|-----------------------|
| a. You were an officer, director, trustee, or partner of a company and another person informed you of allegations of misconduct or you learned the information in connection with the company's processes for identifying, reporting, and addressing possible violations of law? | <input type="radio"/> | <input type="radio"/> |

|   |                       |                       |
|---|-----------------------|-----------------------|
| b. You were an employee whose principal duties involve compliance or internal audit responsibilities? | <input type="radio"/> | <input type="radio"/> |
|---|-----------------------|-----------------------|

|  |     |    |
|--|-----|----|
|  | Yes | No |
|--|-----|----|

Yes  No

\* **22. Did you obtain the information because:**

|  | Yes                   | No                    |
|--|-----------------------|-----------------------|
| a. You were an officer, director, trustee, or partner of a company and another person informed you of allegations of misconduct or you learned the information in connection with the company's processes for identifying, reporting, and addressing possible violations of law? | <input type="radio"/> | <input type="radio"/> |

|   |                       |                       |
|---|-----------------------|-----------------------|
| b. You were an employee whose principal duties involve compliance or internal audit responsibilities? | <input type="radio"/> | <input type="radio"/> |
|---|-----------------------|-----------------------|

|   |                       |                       |
|---|-----------------------|-----------------------|
| c. You were employed by or otherwise associated with a firm retained to perform compliance or internal audit functions for a company? | <input type="radio"/> | <input type="radio"/> |
|---|-----------------------|-----------------------|

|   |                       |                       |
|---|-----------------------|-----------------------|
| d. You were employed by or otherwise associated with a firm (including a legal, consulting, accounting, or other third-party entity or firm) retained to conduct an inquiry or investigation into possible violations of law and the information relates to or derives from that retention? | <input type="radio"/> | <input type="radio"/> |
|---|-----------------------|-----------------------|

|   |                       |                       |
|---|-----------------------|-----------------------|
| e. You were an employee of, or other person associated with, a public accounting firm, if you obtained the information through the performance of an engagement required of an independent public accountant and that information relates to a violation by the engagement client or the client's directors, officers or other employees? | <input type="radio"/> | <input type="radio"/> |
|---|-----------------------|-----------------------|

\* **23. Did you obtain the information or know that the information was obtained in a way that violates federal or state criminal law?**

derives from that retention?

Yes

No

e. You were an employee of, or other person associated with, a public accounting firm, if you obtained the information through the performance of an engagement required of an independent public accountant and that information relates to a violation by the engagement client or the client's directors, officers or other employees?

\* **23. Did you obtain the information or know that the information was obtained in a way that violates federal or state criminal law?**

Yes

No

\* **24. Are you required to report the information to the Department, any Department component, or any federal law enforcement or civil enforcement agency?**

Yes

No



**U.S. Department of  
Justice**

**Criminal Division**

950 Pennsylvania Avenue, NW

Washington, DC 20530-0001

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Criminal Division Citizen Phone  
Line

202-353-4641



- 1  
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- 8  
Complete

## Review and Submit

Please review your answers below. If anything is incorrect, click **Previous** to go back and edit.

### General Information About the Whistleblower

| Question                               | Your Answer  |
|--|--------------|
| 1. Do you wish to proceed anonymously? | Yes          |
| 2. Represented by counsel              | Yes          |
| 3. Counsel Information                 |              |
| First Name                             | vref4efrafea |
| Last Name                              | efaefreafer  |
| Firm Name                              | referfawes   |
| Street Address                         | erferfetg    |

**Question**

**Your answer**

4. Identify the area(s) to which your information relates:

Violations by financial institutions  
their insiders  
or agents  
including schemes involving money  
laundering  
anti-money laundering compliance  
violations  
registration of money transmitting  
businesses  
and fraud statutes  
and fraud against or non-compliance  
with financial institution regulators.

5. Tell us about your complaint or tip, including:

FVGEFQEWFSRTG  
EARFRGWTGRBVSFRTGB  
EVBRTVEFRTBGFDGFB  
FGBVDZFBVBRGFVDGFB  
FSBDZFCVAFVSVBGCFGBH  
FVDGVEASDVFDXBGBCHG DH

6. What companies or individuals are involved in the misconduct?

DVDVEASFSRGDGH  
DCRSEGRSTGBDGSVBFEDZ  
SDSEDCFRDRTRS  
(222) 222-2222  
ZDSFCAAsXadcsCFDZFEDS

7. Describe all supporting materials that you have and any supporting material that are not in your possession. If the materials are not in your possession, identify who has the material or where they can be found.

QWDWasdQDEWSFFDXCFQa

# Upload Supporting Documentation

Upload any supporting materials here. The max allowance for uploads to max of 5 files and a 10 MB.

NOTE: If your submission is eligible for the program, you will have the opportunity to upload supplemental materials at a later date.

## Upload supporting document



Select a file or drag here

## Do you have additional file(s) to upload?

Yes

No



### U.S. Department of Justice

#### Criminal Division

950 Pennsylvania Avenue, NW

Washington, DC 20530-0001

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Criminal Division Citizen Phone

7. Describe all supporting materials that you have and any supporting material that are not in your possession.

If the materials are not in your possession, identify who has the material or where they can be found.

QWDWasdQDEWSFFDXCFQa

8. How did you obtain (a) the information that you are providing today and (b) the materials that support your submission.

WQSDWQDFVRDZSCERFVGF

9. Did you receive any of your information from a lawyer? (If you received information from a meeting that lawyers attended or an email chain where lawyers were copied, click "Yes.")

Yes

Submitting information you received from a lawyer may make it more difficult for the Department to use your tip, unless you hired the lawyer yourself). If you'd like to share information you learned from a lawyer working for someone else - like your company's legal department or an outside law firm that your company hired - please explain. Who did the lawyer work for? Can you describe how you learned the information (in a meeting, through an email)? Can you describe generally what types of information were involved, without sharing the specifics of the information?

AWDFefcaerfgtryhbdgc

Do you believe there is an exception under the applicable state attorney

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Do you believe there is an exception under the applicable state attorney conduct rules that allows you to share this information? If you're not sure, please write "not sure" in the text box below.

---

10. If you received any of the information from a public source, identify the source and provide information about how to access that source.

weraefonj[sok'p]r[qektjhnraeg

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### Other Relevant Questions

| Question | Your answer |
|----------|-------------|
|----------|-------------|

---

11. Have you reported the conduct at issue to your supervisor, compliance office or personnel, whistleblower hotline, ombudsman, or any other available mechanism for reporting possible violations at the company you are complaining about?

Yes

If yes, provide the date on which you provided the information,

fwefraet

---

12. Has anyone taken any steps to prevent or stop you from disclosing the information either internally within the company or to any other law enforcement authority?

No

If yes, describe what happened.

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---

If yes, describe what happened.

gqergwsvgbwterg

---

13. Did anyone retaliate against you for reporting the conduct at issue?

Yes

---

If yes, describe what happened.

aerfgqrewggh

---

14. Have you reported the conduct at issue in this submission to any other federal, state, local, tribal, or international law enforcement agencies or authorities?

No

---

Which agencies have received your report?

---

State or Local

---

If yes, provide the contact information of the relevant authorities (including government investigators or counsel)

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14a. I agree to update the Department if I report to another agency after making this report within seven days of my report to another agency.

Yes

---

15. Has any other agency or organization requested information about your submission from you?

Yes

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If yes, provide the date on which you received the request, the person(s) who requested the information, the date of your response (if any) and

rfqarefgbgbrewgb

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the outcome of any of your responses.

## Program Eligibility

| Question   | Your answer         |
|--|---------------------|
| 16. At the time you acquired the information that is the subject of your submission, did you have a management or decision-making role over the personnel or offices involved in the misconduct?   | Yes                 |
| If yes, describe and provide additional information.   | fvsgbsvg            |
| 17. At the time you acquired the information that is the subject of your submission, were you (a) a Department of Justice official, employee, or contractor at any level — including any federal law enforcement employee, (b) a spouse, parent, child, or sibling of the Department official, employee, or contractor, or (c) residing in the same household as the Department official, employee, or contractor? | No                  |
| If yes, describe and provide additional information.   |                     |
| 17a. Did you obtain the information from a person described or identified in question 17?  | Yes                 |
| If yes, describe and provide additional details.   | FVBTRGDFGBRTDSGWERT |

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18. At the time you acquired the information that is the subject of your submission, were you an elected or appointed foreign government official? No

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If yes, describe and provide additional details.

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19. Did you participate in the conduct being reported? No

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If yes, describe your role and level of involvement in the activity. Be sure to address whether you directed, planned, initiated, or knowingly profited from the activity.

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20. Did you obtain the information in connection with the legal representation of a client on whose behalf you or your employer or firm are providing services? No

---

If yes, describe and provide additional details.

---

21. Does any of your information come from something you saw or heard: (1) online or in the news; (2) in court; or (3) in a government hearing, report, audit, or investigation? No

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If yes, please explain.

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a. You were an officer

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21. Does any of your information come from something you saw or heard: (1) online or in the news; (2) in court; or (3) in a government hearing, report, audit, or investigation? No

---

If yes, please explain.

---

a. You were an officer director trustee or partner of a company and another person informed you of allegations of misconduct or you learned the information in connection with the company's processes for identifying reporting and addressing possible violations of law?

b. You were an employee whose principal duties involve compliance or internal audit responsibilities?

c. You were employed by or otherwise associated with a firm retained to perform compliance or internal audit functions for a company?

22. Did you obtain the information because:

d. You were employed by or otherwise associated with a firm (including a legal consulting accounting or other third-party entity or firm) retained to conduct an inquiry or investigation into possible violations of law and the information relates to or

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22. Did you obtain the information because:

- b. you were an employee whose principal duties involve compliance or internal audit responsibilities?
- c. You were employed by or otherwise associated with a firm retained to perform compliance or internal audit functions for a company?
- d. You were employed by or otherwise associated with a firm (including a legal consulting accounting or other third-party entity or firm) retained to conduct an inquiry or investigation into possible violations of law and the information relates to or derives from that retention?
- e. You were an employee of or other person associated with a public accounting firm if you obtained the information through the performance of an engagement required of an independent public accountant and that information relates to a violation by the engagement client or the client's directors officers or other employees?

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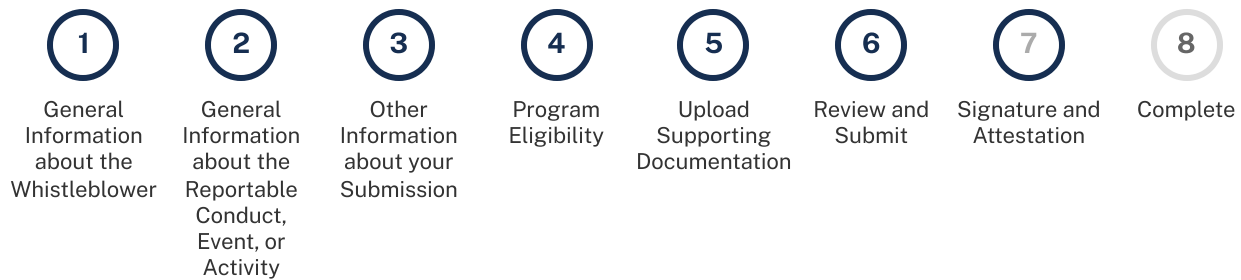
If you answered "yes" to any subpart in Question 22

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23. Did you obtain the information or know that the information was obtained in a way that violates federal or state criminal law?

Yes

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## Signature and Attestation

### Signature and Attestation if Submitted by Counsel:

By checking this box, I declare (or certify, verify, or state) that I have reviewed the requirements and eligibility conditions for consideration under the Corporate Whistleblower Awards Pilot Program with my client, and that my client has affirmed under penalty of perjury under the laws of the United States of America that the information my client has provided is true and correct. I have a copy of this attestation signed by my client on file and available to the Department if requested.

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**U.S. Department of  
Justice  
Criminal Division**



**Thank you for your submission to the Department of Justice’s Corporate Whistleblower Awards Pilot Program. We appreciate your participation in the program.**

We will review your submission and assess whether it warrants further referral and investigation. Department of Justice personnel, including federal prosecutors or agents with the Federal Bureau of Investigation, may contact you for further information. For more information on eligibility requirements, guidance, and updates about the program, please visit the Corporate Whistleblower Awards Pilot Program [website](#).

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**U.S. Department of Justice  
Criminal Division**

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