

Non-Infrastructure Metrics v2.0

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Non-Infrastructure Metrics 2.0 – Questions

Activities

This survey section is intended to look at the activities occurring as a direct result of the EDA sponsored award over the last 12 months.

General (All Grantees Report)

Grant Number (pre-filled)

1. Program Type (pre-filled) [ask to confirm]

- Build to Scale
- Workforce (STEM)
- Revolving Loan Fund
- Disaster
- Partnership Planning
- Short Term Planning
- Local Technical Assistance
- EAA non-construction (non-RLF)
- Disaster (non-RLF)
- Research & Evaluation
- Community of Practice

2. Which of the following activities are funded under your EDA grant?

- Developed strategies, plans, or analyses for regional economic development (Planning grants)
- Provided direct support to businesses, entrepreneurs, or startups (Entrepreneurship)
- Delivered workforce training or workforce development programs (workforce)
- Operated a revolving loan fund or deployed loans to businesses (RLF)
- Supported research, technology development, or commercialization (innovation and commercialization)
- Acquired equipment, space, or facilities for economic development

3. **Geographic area served:** Pre-populated from grant record and ask grantees to confirm or change area served.
4. **Does your project focus on any of the following critical or emerging technology areas? (select all that apply)**
- ☐ Artificial intelligence, machine learning, autonomy, and related advances
 - ☐ High performance computing, semiconductors, and advanced computer hardware and software
 - ☐ Quantum information science and technology
 - ☐ Robotics, automation, and advanced manufacturing
 - ☐ Natural and anthropogenic disaster prevention or mitigation
 - ☐ Advanced communications technology and immersive technology
 - ☐ Biotechnology, medical technology, genomics, and synthetic biology
 - ☐ Data storage, data management, distributed ledger technologies, and cybersecurity
 - ☐ Advanced energy and industrial efficiency technologies (e.g., batteries, advanced nuclear)
 - ☐ Advanced materials science, including composites, 2D materials, and related manufacturing technologies
 - ☐ None of the above
 - ☐ Other: ____
5. **What industry or technology sectors does your award primarily focus on?** (update language for each type of activity)(EAA programs will see this question for each activity they choose)
- *Workforce: Select the sectors where your program trains for and places participants.*
 - *Entrepreneurship / Business Growth / Innovation: Select the sectors where the businesses you serve primarily operate.*
 - *Access to Capital: Select the sectors where your borrowers primarily operate.*
 - *Planning: Select the sectors your planning work primarily targets. If not sector-specific, select "Not sector-specific."*

- **Please select the 2022 NAICS code(s) that best align with industry/industries that is the focus of your award (select all that apply).**
 - o 11 Agriculture, Forestry, Fishing and Hunting
 - o 21 Mining, Quarrying, and Oil and Gas Extraction
 - o 22 Utilities
 - o 23 Construction
 - o 31-33 Manufacturing
 - o 42 Wholesale Trade
 - o 44-45 Retail Trade
 - o 48-49 Transportation and Warehousing
 - o 51 Information
 - o 52 Finance and Insurance
 - o 53 Real Estate and Rental and Leasing
 - o 54 Professional, Scientific, and Technical Services
 - o 55 Management of Companies and Enterprises
 - o 56 Administrative and Support of Waste Management and Remediation Services
 - o 61 Educational Services
 - o 62 Health Care and Social Assistance
 - o 71 Arts, Entertainment, and Recreation
 - o 72 Accommodation and Food Services
 - o 81 Other Services (except Public Administration)
 - o 92 Public Administration
 - Not industry specific

- [For all selected] **For each of the industries you identified, please write in the 4-digit 2022 North American Industry Classification System (NAICS) code(s) that best describe the industry or industries that were the focus of these events.**
 - o Open text (NACIS code separated by comma)

6. How many jobs were created as a direct outcome of activities funded under this grant?

	This reporting period	Cumulative
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Full-Time		
Part-Time		
Temporary/Contract		
N/A: This program does not directly create jobs		

7. How many existing jobs were retained that would have otherwise been lost, as a direct outcome of activities funded under this grant?

	This reporting period	Cumulative
Jobs retained		

8. As an outcome of activities funded under this grant, has your organization secured additional funding or investment that directly builds on, extends, or complements the work performed under this grant?

- Yes
- No
- Too early to assess
- Unknown

[If yes] Please report the approximate amounts by source.

This includes grants, contracts, co-funding, matching funds, or private investment secured by your organization — not capital accessed by individual businesses or clients you serve. Do not include EDA grants — EDA tracks its own awards separately. Do not include capital accessed by individual businesses or clients — that is captured in program- specific sections.

	This reporting period	Since start of award (cumulative)	Too early, unknown or does not apply
Federal grants			

(excluding EDA)			
State or Local Government			
Private Investment			
Philanthropy			

9. **Did your project receive any in-kind contributions during this reporting period?** If yes, provide an estimated valuation. (Enter 0 or 'none' if no in-kind contributions.)

- Dollar amount: _

10. [Skip for RNTA and RLF] **Report on the most significant public or private commitments directed toward your project's activities.** This does not need to be a comprehensive list. Exclude match commitments already accounted for in your award. For each commitment, indicate whether it is new this reporting period or a follow-up on a previously reported commitment.

Organization Name	
Type of Entity	Federal government / State government / Local government / Private company / Philanthropy or nonprofit / Foreign investor / Other
New or follow-up	New this period / Follow-up on previously reported
Date of commitment	Month/Year
Total amount committed	\$ _
Form of commitment	Cash / In-kind / Policy / Other
Amount utilized or received this period	\$ _
Current status	Active / Completed / Partially completed / Delayed / Not yet started / Canceled
Describe how this commitment supports your activities	Open Text

11.[Disaster Industry Transformation Path Only] **Across all coalition projects, were there any commitments this year that meaningfully changed your ability to implement the portfolio?**

- Open Text

12.[Disaster Industry Transformation Path Only] Did you observe any additional investment flowing into the target industry during this reporting period that was **not** part of the commitments already reported in the General section? If yes, briefly describe the investment and how you became aware of it.

- Open Text

13.**Did your grant-funded activities include events, networking gatherings, or convenings during this reporting period?**

- Yes/No [If yes]

	This reporting period	Since start of award (cumulative)
Number of Events Held		
Unique Participants Reached		

14.[Industry Transformation Only] If your coalition hosted convenings this reporting period, did any result in new partnerships or collaborations across component projects or industries?

- Open text

Entrepreneurship & Businesses Support (B2S, EAA, D25)

Section A — All (All respondents routed to this module answer this section, regardless of ecosystem maturity.

1. **[Branching Question] Which types of services did your organization provide during this reporting period?**

(Check all that apply)

- Startup Support (helping individuals or early-stage firms that have not yet achieved stable operations)
- Growth/Scale Support (helping existing businesses stabilize or grow)
- Capacity-Building (strengthening your own organization, other organizations, or the broader regional entrepreneurship ecosystem)

2. **Describe the services your organization provided during this reporting period.** Include major activities, focus areas, and how services were delivered.

- Open Text

3. **What levels of service intensity did you deliver for support?** Select all that apply.

- Light touch (Examples include one-time workshops, short consultations, orientations or introductory sessions.)
- Medium touch (Examples include multi-session training, short-term technical assistance, targeted consulting, structured but time-limited programming)
- High touch (long-term mentorship or coaching, accelerator-style cohort programs, intensive multi-month engagements.)

4. **Please report the number of beneficiaries your project directly served in each category.** Enter "0" if a category does not apply to your project.

Definitions:

- Individuals: People who received support before they had an operating business (e.g., aspiring founders, idea-stage participants).
- Businesses: Any operating business that received direct support. Count one business per entity, even if multiple people from that business participated.

- Ecosystem partners: Organizations (not individuals or businesses receiving direct support) that benefited from grant-funded activities as part of broader ecosystem or coalition-building efforts.

	This reporting period	Since start of award (cumulative)
Number of individuals served		
Number of businesses served		
Number of ecosystem partners served		

- 5. For the businesses your project directly served, please report their stage at the time they entered the program.** Report counts for this reporting period and cumulative since the start of the award. Enter “0” if not applicable. Count each business once in the year they first received assistance.

Business Stage at Entry	This reporting period	Cumulative
Pre-revenue or startup (less than 2 years' operating)		
Early-stage (2–5 years' operating)		
Established (5+ years' operating)		
Unknown		

- 6. Of all businesses that have received assistance under this grant (cumulative), how many are:**

- Currently operating: ____
- Closed or no longer operating: ____
- Unknown status: ____

7. Of all businesses that have received assistance under this grant, how many have accessed outside capital or financing as a direct outcome of activities under this grant?

- —
- N/A — too early to assess
- Unknown

8. For the businesses your program has served, how many accessed each type of capital as a direct outcome of activities funded under this grant? Count each business **once per capital type** it received. If a business received multiple types, it should be counted in **each applicable row**. If you cannot report a value for a category, select “N/A – too early or does not apply” or “Unknown.”

	Number	Unknown	N/A
Equity investment (angel, seed, VC)			
Federal government grants/contracts (SBIR/STTR, other federal)			
State or local government grants/contracts			
Loans or debt financing (bank, CDFI, SBA, other)			

9. Describe the most significant outcomes experienced by the businesses your program served during this reporting period. Focus on notable patterns you observed — for example, which types of businesses are surviving or closing, which types progressed or struggled, and any meaningful examples of how grant-funded support influenced a business’s trajectory. You do **not** need to comment on every business; highlight only what is most useful for understanding outcomes.

- Open Text

Section B — Startup Support

1. **How many new businesses were created (i.e., newly registered) as a direct outcome of activities funded under this grant, cumulative since grant start?**

	This reporting period	Since start of award (cumulative)
Number of new businesses		

- N/A — this program does not directly support new business formation
- Unknown

2. **How many businesses that entered your program pre-revenue or in early-stage have reached the following milestones as a direct outcome of activities funded under this grant?**

	This reporting period	Since start of Award (cumulative)	N/A - too early or unknown
Reached first revenue from product/service sales			
Achieved sustained or recurring revenue			
Hired first full-time employee beyond founders			

3. **Describe the early-stage progress of businesses your program has served.** Include patterns you're seeing — which milestones are most or least common, what's driving early traction, and any specific examples of businesses that have made meaningful progress.

- Open Text

Section C — Growth/Scale Support

- 1. For businesses that entered your program established or in growth-stage, how many have reached the following revenue milestones as a direct outcome of activities funded under this grant?**

	This Reporting Period	Since the Start of Award (cumulative)	N/A - too early or unknown
Crossed \$100K in annual revenue			
Crossed \$1M in annual revenue			
Crossed \$10M in annual revenue			
Achieved sustained year-over-year revenue growth			

- 2. Of the businesses your program serves, approximately what number are engaged in the following market activities?**

- Expanded into new geographic markets
- Began exporting internationally
- Reached new customer segments or industries

Section D — Capacity

- 1. Has your program contributed to building any of the following ecosystem elements in your region during this reporting period?** (Select all that apply)
- New firm formation or clustering in your focus technology, sector, or industry
 - Attraction of founders, talent, or firms from outside the region
 - New formal partnerships between firms and research institutions, federal labs, or universities
 - New networks or communities of practice (founder groups, investor networks, mentor pools)

- New shared infrastructure or programs (incubator space, equipment, testing facilities, accelerator programs)
 - None of the above this period
2. **Describe the ecosystem-building progress selected above.** Include what was built or strengthened, who is involved, and how grant-funded activities contributed.
- Open Text
3. **Has your program contributed to any of the following supply chain or cluster development outcomes?** (Select all that apply)
- o Connected regional firms to one another as suppliers, customers, or partners
 - o Helped firms identify or join a regional industry cluster
 - o Facilitated supply chain mapping or matchmaking
 - o Helped firms diversify or strengthen domestic supply chains
 - o Supported the formation of new supplier networks within the region
 - o None of the above this period
4. **Provide one or two specific examples of the most significant supply chain or cluster development work — what happened, which firms or industries were involved, and why it matters for regional ecosystem development.**
- Open Text
5. **Describe any notable strategic partnerships established by businesses your program serves that are pushing activities further toward broader ecosystem development.** These should be partnerships that genuinely matter — federal laboratory partnerships, licensing agreements with research institutions, major government contracts, or strategic partnerships with established corporations. Include what was established and why it matters for the firms involved. NOTE: This question is about notable, significant partnerships — not a comprehensive list of every relationship.
- Open Text

Section D — Disaster Recovery

1. **Did your program serve any businesses that were impacted by a recent disaster and are currently in recovery?** Select *Yes* if any business you served experienced operational, financial, or physical impacts from the disaster your project is addressing.

- Yes
- No
- Unknown

2. **Of the businesses currently operating, what is their recovery status relative to pre-disaster operations?**

Recovery Status	Number
Fully Recovered – at or above pre-disaster operations	
Partially Recovered – operating but below pre-disaster levels	
Early recovery – operating minimally, significant rebuilding ahead	
Recovery status unknown	

3. **Describe the recovery progress of businesses served.** Include patterns you're seeing – which milestones are most or least common, what helping or hindering recovery, and any specific examples of significant business recoveries.

a. Open Text

Section E — EIN Collection (B2S only) (Gated by program type — only Build to Scale grantees see this section. Other programs routed to Firm Outcomes skip directly to end of module after their relevant maturity sections.)

1. **For each business that received direct assistance under this grant and has an Employer Identification Number (EIN), please provide the EIN.**

(Separate secure upload — format and submission mechanism TBD. Data transmitted directly to Census, not retained in survey system.)

Workforce (EAA, D25, STEM)

Section A — Participants Served and Program Design

1. Provide details for each training program or track funded under this grant. Add one row per track.

- {for each program/track}
 - i. Program Name
 - ii. Duration (weeks)
 - iii. Format (Full-time, Part-time, self-paced)
 - iv. Delivery (Cohort, individual, online, hybrid)
 - v. Work-Based (Y/N)
 - vi. # Enrolled (This reporting period & cumulative)
 - vii. # Completed (This reporting period & cumulative)

2. [Disaster Only] Of the workers your program is serving under this grant, how many were:

	Number
Displaced from disaster-impacted employment (lost jobs due to the disaster)	
Currently employed by disaster-impacted employers and at risk of displacement	
Building new careers in recovery-driven sectors (not previously displaced)	
Other/Unknown	

3. [Disaster Only] For displaced workers, what is the primary recovery strategy of your program?

- Returning workers to their pre-disaster industries
- Transitioning workers to new industries because their pre-disaster sectors were permanently impaired

- Mixed approach depending on worker circumstances and sector viability

4. Does your program provide any of the following participant supports, and how are they funded?

	EDA Funds were used (Y/N)	Other funds were used (Y/N)
Career counseling or coaching		
Childcare assistance		
Transportation assistance		
Housing or relocation support		
Income support or stipends during training		
Job placement assistance		
Post-placement follow-up support		
Health or mental health services		
Material supports (equipment, tools, laptops, work supplies)		
Other __		

5. Of all individuals enrolled cumulatively across all tracks, how many have not completed and are no longer enrolled?

- Number_

6. How many non-completions were for each of the following reasons?

(Approximate counts acceptable)

- Could not meet technical requirements for graduation: __
- Personal circumstances (family obligations, health, childcare, transportation): __
- Financial circumstances (had to take a job, couldn't afford costs): __

- Dismissed for behavior or attendance: ____
- Started a new job during training: ____
- Other or unknown: ____

7. Briefly explain any meaningful changes from prior reporting periods or anything unusual in your enrollment, completion, or program structure.

Examples: significant changes in enrollment numbers, completion rate differences across tracks, program design changes, or barriers that affected outcomes.

- Open Text

Section B — Credentials and Skills

1. How many individuals earned a credential as a direct outcome of activities funded under this grant?

- Number ____
- N/A — too early to assess
- Unknown

2. What type(s) of credentials were earned? (Select all that apply)

- Industry-recognized certification (non-academic, portable)
- State-issued occupational license
- Registered apprenticeship completion
- Academic certificate (post-secondary)
- Associate or other academic degree
- Micro-credential or digital badge
- Secondary credential (HSE, GED, high school equivalency)
- Other: ____

3. Describe how the credentials earned connect to employer demand in your region. Include the rationale for the specific credentials offered and any evidence of employer recognition or hiring based on those credentials.

Section C — Placement, Quality, and Retention

4. Of the individuals who completed the program cumulatively, how many were placed in employment?

- Number
- N/A — too early to assess
- Unknown

5. Of those placed in employment, how many are in each of the following categories

- Employed in-field with an employer partner of your program: ____
- Employed in-field with an employer not partnered with your program: ____
- Employed out-of-field (not in target occupation): ____
- Still seeking employment: ____
- Unknown or unable to contact: ____

6. Of those placed in employment, how many are in each employment type?

- Full-time (30+ hours/week): ____
- Part-time (less than 30 hours/week): ____
- Earn-and-learn (registered apprenticeship, OJT, paid internship): ____
- Temporary or contract: ____
- Unknown: ____

7. [Disaster Only] Of those placed in employment, what was the average time from program completion to first placement?

- Less than a month
- 1-3 months
- 6-6 months
- More than 6 months
- Unknown

8. Of individuals placed in employment six months or more ago, how many are still employed?

- Number_
- N/A - too early to assess

- Unknown

9. The industries selected for this grant are: [pulled from NAICS]. Did your program place participants in additional industries not listed above, or were placements limited to a subset of these?

- Placements were in all selected industries
- Placements were limited to a subset (check which industries below)
- Placements included additional industries (specify below)

10. For each industry where your program placed participants, what was the typical hourly wage range at placement?

	Under \$15	\$15-20	\$20-25	\$25-30	\$30-40	Over \$40
Industry 1						
Industry 2						
Industry 3						

11. Describe placement, retention, and wage outcomes. Include any notable patterns, differences across tracks, how placement or retention is tracked, and specific examples of successful outcomes.

- Open Text

Section D — Employer Engagement

12. How many employers are actively engaged as partners in your program?

- Number ____

13. Of those actively engaged employers, how many are involved in each of the following ways? (Counts don't need to sum — an employer can be involved in multiple ways)

- Contributing to curriculum design or input on skills being taught: ____
- Hosting work-based learning, internships, apprenticeships, or OJT: ____
- Hiring program graduates: ____
- Committing in advance to hire program graduates: ____

14. Of your actively engaged employer partnerships, how many are:

- New this reporting period: ____
- Continuing from prior reporting periods: ____
- Expanded in depth this reporting period (e.g., moved from advisory role to hiring commitments): ____
- Disengaged or withdrawn this reporting period: ____

15. Did employers in your target industry report any changes (positive or negative) in their ability to hire workers with the skills needed this year?" Response options:

- Yes, improvements
- Yes, challenges increased
- No change
- Too early to assess
- If yes: Please describe the types of roles or skills where these changes were observed.

16. Did employers indicate new or changing skill needs this year, and did your program make any adjustments (curriculum, partnerships, or training methods) in response?"

- Yes
- No
- Too early to assess
- If yes: Briefly describe the changes made to address these needs.

17. Describe one of your most significant employer partnerships. Include specific examples of how the employer has shaped the program, the role they play in placing graduates into quality jobs, and any notable changes in partnership depth over time. If your employer partners include disaster-impacted businesses, briefly describe how disaster impacts have affected partnership stability or hiring.

- Open Text

Planning Development (Planning, EAA, D25)

Section A — Deliverables and Progress

A1a. (Show only for Local Technical Assistance) Who received technical assistance under this grant during this reporting period, and what were they trying to accomplish? Include the types of organizations served and the purpose of the assistance.

1. What deliverables are being produced under this grant, and what is their current status?

	Not Started	In Progress	Completed (not adopted)	Completed and Adopted	N/A
CEDS					
Non-CEDS economic development plan or strategy					
Feasibility study					
Research report, economic analysis, or data too					
Recovery Support Strategy (<i>disaster</i>)					
Regional disaster recovery or resilience plan (<i>disaster</i>)					
Sector- or industry-specific recovery analysis (<i>disaster</i>)					
Pre-development work (engineering, site planning, feasibility for future projects)					

Other_					
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2. Describe the progress of your planning or research deliverables during this reporting period. If deliverables were completed, describe who adopted them and how. If in progress, describe key milestones reached and expected completion timeline.

3. What capacity building activities have been completed or advanced under this grant? (Select all that apply)

- Hired new staff in dedicated roles (program managers, coordinators, analysts, recovery coordinators)
- Established new functions or units within your organization
- Developed standard operating procedures, playbooks, or internal guidance
- Conducted staff training on planning, analysis, program management, or recovery practices
- Built internal data, reporting, or analytical infrastructure
- Built or strengthened relationships with federal, state, or local agency partners
- Built capacity for stakeholder engagement and multi-partner coordination
- Other: ____
- None of the above

Section B — Outcomes and Implementation

1. Describe any specific decisions, investments, or actions that were informed by the planning or research completed under this grant. Be specific about what decision was made, who made it, and how the planning work contributed.

- Open Text

2. **Of the priorities or recommendations in your completed plan(s), how many are being actively implemented?** Describe which priorities have active projects underway and which have not yet progressed.

- Open Text

3. **Has the planning work completed under this grant been used to support federal, state, or local disaster recovery, resilience, or hazard mitigation efforts?**

- Yes
- No
- N/A (not related to disaster efforts)

[If Q3 = Yes] **Briefly describe how the planning work has been used in disaster recovery, resilience, or mitigation efforts.**

- Open Text

4. **Has the work funded under this grant enabled your organization to pursue specific follow-on funding opportunities that are not yet resolved?** If yes, briefly describe one or two of the most significant examples — what funding you are pursuing, how the grant work made the application possible, and the current status (in development, submitted, or under review). If no, indicate "no follow-on pursuits at this time." *Note: Funding already secured should be reported above.*

- Open Text

5. **(Optional)** Describe any significant challenges, barriers, or lessons learned from your planning work this reporting period — including challenges to plan development, adoption barriers, coordination difficulties, or process lessons.

- Open Text

Section C — Partnerships and Regional Coordination

- 6. How many partner organizations are actively engaged in the work funded under this grant? Count each organization once, regardless of how many roles they play.**

Partner Type	Number
Jurisdictions (counties, cities, towns, tribal governments)	
State government agencies	
Federal government agencies	
Economic development organizations (EDDs, chambers, EDOs)	
Workforce intermediaries (workforce boards, one-stops)	
Private sector (employers, businesses, industry associations)	
Community-based organizations and nonprofits	
Educational and research institutions	
Other: ____	

- 7. Describe how these partners are engaged and the quality of engagement.** Include any changes in partnership composition or depth during this reporting period — new partners added, partners more or less actively involved, sectors that are underrepresented, or shifts in engagement quality.

- Open Text

- 8. Is your planning work aligned or integrated with any other planning processes? (select all that apply)**

- HUD Consolidated Plan
- FEMA Hazard Mitigation Plan
- WIOA Regional/Local Plan
- State economic development strategy
- Transportation or infrastructure plan
- Climate or resilience plan
- None
- Other: ____

9. [Disaster Readiness Only] What signals or indicators suggest your region is better prepared for future disasters?

- Open Text

Access to Capital (RLF, D25 RLF)

Section A — Lending Strategy and Borrower Profile

1. Describe who your fund is currently serving and any changes to your lending strategy, target market, borrower profile, or market conditions during this reporting period. Include whether the types of businesses applying for loans have shifted compared to prior periods.

- Open Text

2. Of all businesses that have received loans from this fund (cumulative), what was their stage at the time of loan origination?

- Pre-revenue or startup (less than 2 years): ____
- Early-stage (2–5 years): ____
- Established (5+ years): ____
- Unknown: ____

3. [Disaster Only] Of the borrowers currently operating, what is their recovery status relative to pre-disaster operations?

Recovery Status	Number
Fully Recovered – at or above pre-disaster operations	
Partially recovered – operating but below pre-disaster levels	
Early recovery – operating minimally, significant rebuilding ahead	
Recovery status unknown	

Section B — Borrower Outcomes

4. Of all businesses that have received loans from this fund (cumulative), how many are: (These should sum to total cumulative borrowers.)

- Currently operating: ____

- Closed or no longer operating: ____
- Unknown status: ____

5. Of all businesses that have received loans from this fund (cumulative), how many experienced the following outcomes?

	Number	Too early, unknown or does not apply
New businesses created (registered as a result of the RLF loan)		
Borrowers that experienced revenue growth		
Borrowers that created new jobs		
Borrowers that accessed additional financing from other sources		
Borrowers that graduated to traditional bank financing		
[Disaster Only] Reopened operations after disaster closure		
[Disaster Only] Restored revenue to pre-disaster levels		
[Disaster Only] Restored employment to pre-disaster levels		
[Disaster Only] Exceeded pre-disaster operations		

6. Describe the outcomes for your borrower businesses. Include context that helps interpret the numbers above — for example: what types of businesses are surviving vs. closing and why, what kinds of jobs are being created, what additional financing sources borrowers are accessing, and how RLF capital changed any specific business's trajectory. Include notable examples where available. If your fund is supporting disaster-impacted business include context on recovery progress, types of recovery support borrowers needed, and any patterns in which borrowers are recovering vs. struggling.

- Open Text

Section C — Fund Performance and Sustainability

- 7. Is your current capital base sufficient to meet lending demand in your region?** (select one)
- ☐ Yes — demand is adequately met
 - ☐ Partially — meeting some but not all qualified demand
 - ☐ No — significant unmet demand exists
 - ☐ Unknown
- 8. Describe the nature of the unmet demand. Include the types of businesses or loan sizes you cannot serve and what would be needed to address the gap.**
- Open Text

Innovation & Commercialization Questions (B2S, EAA, D25: 2 and 3)

- 1. [Branching Question] Does your grant include innovation, commercialization, or R&D work?**
- R&D only
 - Commercialization only
 - Both

Section A – R&D Support

- 2. How many firms directly participated in grant-supported R&D activities during this reporting period?**
- Number_
- 3. What types of research and development activities did your grant-funded work support during this reporting period? (Select all that apply)**
- Basic research / proof-of-concept
 - Applied research
 - Prototyping
 - Technology scale-up / pilot production

- New product or service development
- Collaborative R&D with industry or research partners
- Transitioned from earlier to later development stage (e.g., from concept toward prototype, or from prototype toward pilot testing)
- Other: ____

4. Did the R&D work supported under this grant advance during this reporting period? (Select all that apply)

- Achieved key technical milestones or validation
- Produced preliminary results that support further development
- Transitioned from earlier to later stage (e.g., concept to prototype, prototype to pilot)
- Identified critical challenges requiring different approach
- Work is ongoing but no major advancement this period
- Other: ____

5. Did this R&D work address supply-chain vulnerabilities or bottlenecks in the target industry?

- Yes/No
- If yes – please explain how

6. Describe the progress made and any notable outcomes from R&D work supported under this grant. Include specific technical results, transitions in stage of development, publications, follow-on funding secured, or work advancing toward commercialization or other next steps.

- Open Text

Section B – Commercialization

7. Cumulative since grant start, how many of the following have been achieved as a direct outcome of activities funded under this grant?

	Number
Patents Awarded	
Patents Pending	
Technology Licenses Granted	
N/A — too early / Unknown	

8. During this reporting period, have any technologies supported by this grant reached significant commercialization milestones? (Select all milestones achieved)

- Demonstrated viable prototype in operational settings
- Validated and qualified a technology/product that meets market-entry criteria
- Launched a technology/product commercially
- Achieved first revenue from new commercial products/services
- Signed new sales contracts or secured orders from customers
- Scaled commercial production or expanded manufacturing capabilities
- Secured strategic partnerships or investments that accelerate market entry or growth
- None of the above this period

9. For each milestone selected, provide a brief description — the technology or product involved, what was achieved, and the role of grant-funded activities.

- Open Text

10. What are the main challenges you or your assisted firms are experiencing in taking technologies to market? (Select all that apply)

- Lack of funding for commercialization
- Product design or development issues
- Market adoption or customer acquisition
- Intellectual property issues
- Manufacturing or distribution challenges
- Lack of workforce or talent with needed skills
- Regulatory hurdles
- Competition
- None
- Other: ____

11. Describe any notable innovation partnerships active under this grant — universities, federal laboratories, industry consortia, or research institutions — and the role they play in your commercialization work.

- Open Text

Research and Community of Practice (RNTA)

1. How has the work been disseminated and who has engaged with it?

Include publications, presentations, downloads, training participants, community of practice membership or participation, or other measures of reach.

- Open Text

2. Has the work produced under this grant influenced any specific decisions, policies, programs, or practices in the economic development field? Describe any evidence of adoption or impact.

- Open Text

Infrastructure (D25 2 and 3, EAA)

1. In the last year, was new equipment purchased or existing equipment repaired/upgraded as a result of the EDA investment?

- Yes
- No

2. Primary Usage: *What is the primary use of the equipment purchased, upgrade, or repaired?*

- Instructional or training
- Manufacturing or Industrial
- Laboratory or Research
- Business incubation or shared workforce
- Other

3. Primary Users: *Who are the primary users/beneficiaries of the purchased, upgraded, or repaired equipment?*

- Students, trainees, or program participants

- Businesses or entrepreneurs
 - Research or Practitioners
 - Community members or general public
 - Others
- 4. Did the EDA investment support acquiring (through lease or purchase) new space?**
- Yes – Purchased
 - Yes – Leased
 - No
- 5. Primary Usage: *What will be the primary use for the purchased/leased space?***
- Instructional or Training
 - Manufacturing or Industrial
 - Laboratory or Research
 - Business incubation or shared workspace
 - Other
- 6. Primary Users: *Who will be the primary users/beneficiaries of the space acquired?***
- Students, trainees, or program participants
 - Business or entrepreneurs
 - Research or Practitioners
 - Community members or general public
 - Other

Disaster (Implementation Path)

- 1. Which FEMA-declared disaster(s) is this grant responding to?** (Select all that apply, or enter FEMA disaster declaration number if known)

Disaster	Year

2. **Report on the pre/post-disaster benchmarks your project committed to in your Impact and Engagement Narrative.** List the same metrics you committed to at application — do not substitute new metrics — and report progress against each.

Metric (as committed in application)	Pre-disaster value	Current value	Progress Notes

Use "no change yet" or "data not available" where annual movement is limited or data lags. If your application benchmarks need clarification or correction, note that in progress notes — but do not substitute different metrics from year to year.

3. **Has your project addressed any specific vulnerabilities the disaster exposed in the regional economy?** (Select all that apply)
- Over-dependence on a single industry or major employer
 - Lack of business continuity practices among impacted businesses
 - Workforce concentration in vulnerable sectors
 - Weak supply chain relationships
 - Limited access to surge capital for impacted businesses
 - Underdeveloped recovery coordination infrastructure
 - Other: ____
 - None of the above
4. **Describe progress your project is making toward building regional economic resilience that will persist past the grant.** Address both (a) the specific vulnerabilities checked above and how your work is addressing them, and (b) any other ecosystem-level outcomes — new supply chain relationships, durable coordination structures, diversification efforts, or sector-level capacity. Focus on the most significant contributions, not a comprehensive list.

- Open Text

5. **How many active coordination relationships does your project have with each type of disaster recovery partner during this reporting period?** Active coordination means substantive collaboration on recovery work — not just attending the same meetings or receiving information.

Partner Type	Number
FEMA programs (IA, PA, HMGP, ERSF)	
HUD CDBG-DR	
SBA disaster programs	
Other federal recovery agencies	
State disaster recovery or emergency management agencies	
Local emergency management or recovery offices	
Philanthropic disaster funds	
Other_	

6. **Describe one or two of the most significant coordination relationships.** Include who you are coordinating with, what the coordination involves substantively, and how it strengthens your recovery work or fills gaps that your project couldn't address alone.
- Open Text

Disaster (Industry Transformation)

1. How many active consortium members did your coalition have during this reporting period, by organization type? (Enter "0" if none.)
- Include organizations that are actively engaged in implementation, strategy, or shared roles this period.
 - Higher education institutions (universities, colleges, community colleges): ____

- Workforce organizations (workforce boards, labor organizations, intermediaries): ____
 - Industry or business partners (firms, industry associations): ____
 - Economic development organizations (EDDs, chambers, EDOs): ____
 - Government entities (state, local, tribal agencies): ____
 - Community-based or nonprofit organizations: ____
 - Research institutions, labs, test facilities: ____
 - Other partners (specify): ____
2. **Did the coalition experience any changes to membership, roles, or governance structure this reporting period?**
- Yes (Briefly describe major changes)
 - No
3. **Which shared assets funded by any component project became newly operation or were used by more than one coalition partner this reporting period?**
- Shared workforce training facilities
 - Shared R&D or testing facilities
 - Shared equipment
 - Shared data or performance systems
 - Other (specify)
 - None
4. Briefly describe how work across these projects aligned, integrated, or cross-supported each other during this reporting period (if applicable). Examples may include coordinated sequencing, shared outreach, common firms served, alignment of training and innovation assets, or complementary activities.
- Open Text

5. **During this reporting period, which of the following developments occurred in the region's target industry or supply chain?** Select all that apply.
- New partnerships among firms
 - Suppliers taking on new roles or upgrading capabilities
 - Firms beginning to use shared coalition assets (training, R&D, testing, production)
 - New firms entering the target industry
 - Existing firms expanding production, capabilities, or markets
 - New supplier relationships or matchmaking outcomes
 - Early signs of emerging supplier networks or cluster activity
 - None this reporting period
 - Other (briefly describe)
6. Did your coalition observe any steps, practices, or early indicators this year that may contribute to improved regional resilience? If yes, briefly describe.
- Yes – briefly explain
 - No

Construction (Industry Transformation Path)

1. What type of facility was constructed or upgraded?
- o Training facility
 - o R&D laboratory
 - o Testing/validation facility
 - o Manufacturing or production space
 - o Multi-use innovation space
 - o Other (describe)
2. **What is the current construction milestone achieved?** Select the milestone that best describes the status as of this reporting year.
- o Substantial completion
 - o Occupancy-ready

- o Fully operational
 - o Not yet completed (construction still underway)
- 3. Please describe how the newly completed or upgraded facility has begun enabling new activity or capacity. Provide 3–5 sentences addressing:
 - o What activities are now possible that were not possible before?
 - o Who (e.g., trainees, firms, researchers) has begun using or interacting with the space, if applicable?
 - o Any early operational insights, successes, or challenges?
- 4. Are the intended functional elements of the facility currently operational?
 - o Yes, all intended functions are active
 - o Some functions are active; others are still being brought online
 - o No, the facility is not yet functionally active

If “Yes” or “Some,” briefly describe which functions are active.
- 5. What new operational capacity has the facility enabled? Select all that apply.
 - o Ability to host training cohorts
 - o Ability to support R&D projects
 - o Ability to support firms with equipment access
 - o Ability to conduct testing or validation activities
 - o Ability to expand production or prototyping capacity
 - o Other (describe)
 - o Brief description
- 6. Which previous bottlenecks or constraints have been reduced or removed due to the new facility? Select all that apply.
 - a. Space constraints
 - b. Equipment access constraints
 - c. Testing/validation delays
 - d. Scheduling bottlenecks
 - e. Compliance or certification barriers
 - f. Other (describe)
 - g. Brief description

7. Please describe any resilience-oriented design features or improvements incorporated into the facility (if applicable). Examples include redundancy, hazard mitigation, sustainability features, or continuity-of-operations design — only if relevant.