

## U.S. Department of State

OMB APPROVAL NO. 1405-0142  
 EXPIRATION DATE: 06/30/2026  
 \*ESTIMATED BURDEN: 2 HOURS

**Application for Brokering License - ITAR Part 129.6**

\*PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (A/GIS/DIR) Washington, D.C. 20520.

**1. Date Prepared**

09/11/2023

**DDTC Registration Code****2. Basic Information**

Name

Attention

Street Address

City

Country

United States

Telephone

**3. 129.6(a)(2) Certification Statement**

1. Neither the applicant, its chief executive officer, president, vice presidents, secretary, partner, member, other senior officers or officials (e.g. comptroller, treasurer, general counsel) nor any member of its board of directors is:

a. the subject of an indictment has been charged (e.g., by criminal information in lieu of indictment) for, or has been convicted of, violating any of the U.S. criminal statutes enumerated in 22 CFR § 120.6; or

b. ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from any agency of the U.S. Government;

2. To the best of the applicant's knowledge, no other person involved in the brokering activities enumerated in the request for approval as defined in 22 CFR § 129.2 is the subject of an indictment or has been otherwise charged (e.g., charged by criminal information in lieu of indictment) for or has been convicted of violating any of the U.S. criminal statutes enumerated in 22 CFR § 120.6 or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government.

**Select One**

- a. I am authorized by the applicant to certify that the applicant and all the parties to the transaction can meet in full the conditions of 22 CFR § 129.6 as listed above.
- b. I am authorized by the applicant to certify to 22 CFR § 129.6. The applicant or one of the parties to the transaction cannot meet one or more of the conditions of 22 CFR § 129.6 as listed above. A request for an exception to policy as described in 22 CFR § 127.11 is attached.
- c. I am authorized by the applicant to certify to 22 CFR § 129.6. The applicant or one of the parties to the transaction cannot meet one or more of the conditions of 22 CFR § 129.6 as listed above. However, that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR § 127.11.
- d. I am not authorized by the applicant to certify the conditions of 22 CFR § 129.6. The applicant and all of the parties to the transaction can meet in full the conditions of 22 CFR § 129.6 as listed above. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 129.6.

- e. I am not authorized by the applicant to certify the conditions of 22 CFR § 129.6. The applicant or one of the parties to the transaction cannot meet one or more of the conditions of 22 CFR § 129.6 as listed above. A request for an exception to policy, as described in 22 CFR § 127.11, and a letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 129.6 are attached.
  
- f. I am not authorized by the applicant to certify to 22 CFR § 129.6. The applicant or one of the parties to the transaction cannot meet one or more of the conditions of 22 CFR § 129.6 as listed above. However, that party has met the conditions imposed by the Directorate of Defense Trade Controls in order to resume standard submission of applications, not requiring an exception to policy as described in 22 CFR § 127.11. Please see the attached letter from an official that is authorized by the applicant to certify to the conditions of 22 CFR § 129.6.

**4. Describe Brokering Activity**

**5. Persons who may participate in brokering activity**

**6 - 7. Commodity Information**

**8. End-User Information**

**9. Describe End Use**

**10. Related to Direct Commercial Sale or FMS Program**

**11. Additional Details of Transaction**

This application is related to a disclosure filed with Defense Trade Controls Compliance  
 Yes  No

**12. Applicant's Statement**

I, , certify that the request is complete and accurate.