

Federal Communications Commission

Explanation of a Non-Substantive Change to OMB Control Number 3060-0824:

- FCC Form 498
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- **Purpose of this Submission:** This submission is being made for a non-substantive change request to existing information collections pursuant to 44 U.S.C. § 3507. The Commission seeks to change the FCC Form 498 and Instructions (OMB Control No. 3060-0824) in accordance with 2 CFR Part 25.

Summary of Updates to the FCC Form 498

- **Transition of Requirements:** The Commission’s Public Notice released April 6, 2022 explained that the Commission was working with the administrator of the universal service programs, the Universal Service Administrative Company (USAC) to complete a full transition for the collection of unique entity identifiers (UEIs) and the use of SAM.gov for the collection of disbursement information from Universal Service Fund participants.¹ The transition is complete and the Commission will no longer need to collect remittance financial institution-related data from Universal Service Fund participants on the FCC Form 498.
- Page 3, Block 5, Line 29 of the FCC Form 498 is updated to remove “Remittance Financial Institute for ACH or locked box transfer of funds (required)” entry.
- Page 3, Block 5, Line 30 of the FCC Form 498 is updated to remove “Financial Institution Account Number for ACH (required)” entry.
- Page 3, Block 5, Line 31 of the FCC Form 498 is updated to remove “ACH Financial Institution Transit Number – must be nine digits (required)” entry.
- Page 4, Block 7, Line 46 of the FCC Form 498 is updated to remove “Remittance Financial Institute for ACH or locked box transfer of funds (required)” entry.
- Page 4, Block 7, Line 47 of the FCC Form 498 is updated to remove “ACH Financial Institution Transit Number – must be nine digits (required)” entry.
- Page 4, Block 7, Line 48 of the FCC Form 498 is updated to remove “ACH Financial Institution Transit Number – must be nine digits (required)” entry.
- Page 6, Block 10, Line 63 of the FCC Form 498 is updated to remove “Remittance Financial Institute for ACH or locked box transfer of funds (required)” entry.

¹ *Federal Government Transition from the Data Universal Numbering System to a New Unique Entity Identifier for SAM.Gov as of April 4, 2022*, Public Notice, DA 22-371, at 2 (Apr. 6, 2022).

- Page 6, Block10, Line 64 of the FCC Form 498 is updated to remove “Financial Institution Account Number for ACH (required)” entry.
- Page 6, Block 10, Line 65 of the FCC Form 498 is updated to remove “ACH Financial Institution Transit Number – must be nine digits (required)” entry.
- Page 7, Block 12, Line 80 of the FCC Form 498 is updated to remove “Remittance Financial Institute for ACH or locked box transfer of funds (required)” entry.
- Page 7, Block 12, Line 81 of the FCC Form 498 is updated to remove “Financial Institution Account Number for ACH (required)” entry.
- Page 7, Block 12, Line 82 of the FCC Form 498 is updated to remove “ACH Financial Institution Transit Number – must be nine digits (required)” entry.
- Block 21, of the FCC Form 498 is updated to remove DATA Act Business Types block, entire field.*

Summary of Updates to the FCC Form 498 Instructions

- Block 5, Item 29 of the FCC Form 498 instructions are updated to remove **Name of High Cost Remittance Financial Institution:** High Cost Program payments are made via Automatic Clearing House (ACH), and financial institution information is required to process such payments. If you do not provide this information, you will not receive payment.
- Block 5, Item 30 & 31 of the FCC Form 498 instructions are updated to remove **High Cost Remittance Financial Institution Account Number and Transit Number for ACH Payments:** Provide the ACH financial institution account number and financial institution transit number. Please be sure that the transit number is nine digits. If you do not provide this information, you will not receive payment.
- Pages 7, 9, 11 & 13 of FCC Form 498 instructions are updated to remove *“In order to protect recipients of Universal Service Funds, USAC may request additional documentation to validate the banking information submitted by filers of the FCC Form 498. Do not provide additional documentation with your submission. You will be contacted by USAC with instructions on how to securely provide this data to validate banking information. Please see Appendix B on page 23 of the instructions for additional information.”*
- Block 7, Item 46 of the FCC Form 498 instructions are updated to remove **Name of Lifeline Remittance Financial Institution:** Lifeline Program payments are made via electronic Automatic Clearing House (ACH), and financial institution information is required to process such payments. If you do not provide this information, you will not receive payment.
- Block 7, Items 47 & 48 of the FCC Form 498 instructions are updated to remove **Lifeline Remittance Financial Institution Account Number and Transit Number for ACH Payments:** Provide the ACH financial institution account number and financial institution transit number. Please be sure that the transit number is nine digits. If you do not provide this information, you will not receive payment.
- Block 10, Item 63 of the FCC Form 498 instructions are updated to remove **Name of Rural Health Care Remittance Financial Institution:** Rural Health Care Program payments are made via electronic Automatic Clearing House (ACH) and financial institution information is required to process such payments. If you do not provide this information, you will not receive payment.
- Block 10, Items 64 & 65 of the FCC Form 498 instructions are updated to remove **Rural Health Care Remittance Financial Institution Account Number and Transit Number for ACH Payments:** Provide the ACH financial institution account number and transit number. Please be sure that the transit number is nine digits. If you do not provide this information, you will not receive payment.
- Block 12, Item 80 of the FCC Form 498 instructions are updated to remove **Name of Schools and Libraries Remittance Financial Institution:** Schools and Libraries Program payments are made via electronic Automatic Clearing House (ACH), and financial institution information is required to process such payments. If you do not provide this information, you will not receive payment.

- Block 12, Items 81 & 82 of the FCC Form 498 instructions are updated to remove **Schools and Libraries Remittance Financial Institution Account Number and Transit Number for ACH Payments:** Provide the ACH financial institution account number and transit number. This cannot be a consultant's account. Please be sure that the transit number is nine digits. If you do not provide this information, you will not receive payment.
- Block 21, of the FCC Form 498 Instructions are updated to remove DATA ACT Business Types, entire block remove.
- There are no changes in burden and no costs are associated with this information collection.
- The Commission notes that due to the renumbering that occurred with FCC Form 498 and FCC Form 498 Instructions that the numbers have changed from what was originally approved by OMB throughout both documents.

Attachment 1: Updated FCC Form 498
Updated FCC Form 498 Instructions