

Copy Approved PTA (Privacy Threshold Analysis)

Do you want to copy this Privacy Threshold Analysis (PTA) ?

Please define who would be the Submitter of copied Privacy Threshold Analysis (PTA).

Instructions

Review the following steps to complete this questionnaire:

- 1) Answer questions.** Select the appropriate answer to each question. Question specific help text may be available via the  icon. If your answer dictates an explanation, a required text box will become available for you to add further information.
- 2) Add Comments.** You may add question specific comments or attach supporting evidence for your answers by clicking on the  icon next to each question. Once you have saved the comment, the icon will change to the  icon to show that a comment has been added.
- 3) Change the Status.** You may keep the questionnaire in the "In Process" status until you are ready to submit it for review. When you have completed the assessment, change the Submission Status to "Submitted". This will route the assessment to the proper reviewer. Please note that all values list questions must be answered before submitting the questionnaire.
- 4) Save/Exit the Questionnaire.** You may use any of the four buttons at the top and bottom of the screen to save or exit the questionnaire. The button allows you to complete the questionnaire. The button allows you to save your work and close the questionnaire. The button allows you to save your work and remain in the questionnaire. The button closes the questionnaire without saving your work.

Acronyms

- ATO - Authorization to Operate
- CAC - Common Access Card
- FISMA - Federal Information Security Management Act
- HHS - Department of Health and Human Services
- NARA - National Archives and Record Administration
- OMB - Office of Management and Budget
- PIA - Privacy Impact Assessment
- PII - Personally Identifiable Information
- POC - Point of Contact
- PTA - Privacy Threshold Analysis
- URL - Uniform Resource Locator

General Information

PTA Name:	CDC - OCIO ISB INFR SVCS - Message Validation, Processing, and Provisioning System - QTR2 - 2024 - CDC8304536	PTA ID:	8304536
Name of Component:	OCIO ISB Infrastructure Services	Name of ATO Boundary:	OCIO ISB Infrastructure Services
Does this need to migrate to a Sub-Component?:	No		
Consolidated Parent Component			

Component Name			
No Records Found			
Is this a Sub-Component?:		Yes	
Sub-Component			
Software Name			
Message Validation, Processing, and Provisioning System			
Overall Status:		PTA Queue:	
Submitter:	FINLAYSON, Douglas	# of Days Open:	(289)
Submission Status:	Submitted	Submit Date:	8/22/2023
Office:	OD	PIA Required:	Yes
Legacy PTA ID:		OpDiv:	CDC
1:	Identify the Enterprise Performance Lifecycle Phase of the system.		
2:	Is this a FISMA-Reportable system?		
3:	Does the system have or is it covered by a Security Authorization to Operate (ATO)?		
4:	ATO Date or Planned ATO Date.		10/23/2023
5:	Is the system or electronic information collection agency or contractor operated?		Agency
History Log:	View History Log		

PTA Questions			
General			
PTA - 1 Point of Contact (POC)			
POC Title	POC Name	POC Organization	POC E-mail
Business Steward	Indira Srinivasan	CDC/OPHSS/CSELS	ibs4@cdc.gov
PTA - 2:	Indicate the following reason(s) for this PTA. Choose from the following options.		PIA Validation (PIA Refresh)
PTA - 2A:	Describe in further detail any changes to the system that have occurred since the last PIA.		N/A
PTA - 3:	Is the data contained in the system owned by the agency or contractor?		Agency
PTA - 4:	Please give a brief overview and purpose of the system by describing what the functions of the system are and how the system carries out those functions.		MVPS system is a multifaceted public health disease surveillance system that gives public health officials powerful capabilities to monitor the occurrence and

		spread of diseases. Facets of MVPS will be used by numerous state, territorial, tribal, and local health departments; and by partner organizations, such as the Council of State and Territorial Epidemiologists (CSTE). The primary goal of the Message Validation, Processing, and Provisioning System (MVPS) is to develop a common infrastructure for public health agencies that allows the Federal, state, and local level public health agencies to store and exchange data using a common set of business procedures, metadata, and capabilities that can be defined from the start.
PTA - 5:	List and/or describe all the types of information that are collected (into), maintained, and/or shared in the system regardless of whether that information is PII and how long that information is stored.	MVPS contains the following patient (case) contact data: Names, mailing address, email addresses, phone numbers, medical notes, date of birth (DOB), Sex/ Race, county, marital status and census tract. The raw messages are stored in the databases to maintain source and history and are kept permanently.
PTA - 5A:	Are user credentials used to access the system?	Yes, but the user credentials are maintained in a separate system (e.g., AD, AMS) and not collected or maintained by this system. The system providing credentials is - Internal CDC users authenticate via CDC's Active Directory. Partners authenticate via Secure Access Management System (SAMS)
PTA - 5B:	Please identify the type of user credentials used to access the system.	
PTA - 6:	Describe why all types of information is collected (into), maintained, and/or shared with another system. This description should specify what information is collected about each category of individual.	The primary goal of the Message Validation, Processing, and Provisioning System (MVPS) is to develop a common infrastructure for public health

		agencies that allows the Federal, state, and local level public health agencies to store and exchange data using a common set of business procedures, metadata, and capabilities that can be defined from the start and not be introduced ad-hoc. The MVPS system is a message processing system. Messages are received and are then validated. Names – MVPS only collects patient name for Malaria cases. It is a requirement by the Malaria program. Submitters names (state epidemiologist in most cases) are collected in case someone needs to contact them for questions or issues. Mailing address – MVPS does not collect street address, but does collect city/state/zip/county for basic demographics on a case. Email addresses, phone numbers, medical notes, DOB, Sex/ Race, county, marital status and census tract – email addresses and phone numbers are only collected for the submitters (state epidemiologist in most cases) in case of questions or issues. medical notes, DOB, Sex/ Race, county, marital status and census tract are collected for basic demographics on a case.
PTA - 7:	Does the system collect, maintain, use of share PII?	Yes
PTA - 7A:	Does this include Sensitive PII as defined by HHS?	Yes
PTA - 8:	Does the system include a website or online application?	Yes
PTA - 8A:	Are any of the URLs listed accessible by the general public (to include publicly accessible log in and internet websites/online applications)?	No
URL Details		
Type of URL		List Of URL
No Records Found		
PTA - 9:	Describe the purpose of the website, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response.	The site serves as a dashboard for Admin users (all Admins are internal CDC users) as a reporting platform for external partners. Internal CDC users authenticate via CDC's Active Directory. Partners authenticate via SAMS.
PTA - 10:	Does the website have a posted privacy notice?	Yes
PTA - 11:	Does the website contain links to non-federal government websites external to HHS?	No
PTA - 11A:	Is a disclaimer notice provided to users that follow external links to websites not owned or operated by HHS?	
PTA - 12:	Does the website use web measurement and customization technology?	Yes
PTA - 12A:	Select the type(s) of website measurement and customization technologies in use and if it is used to collect PII.	Session Cookies - Does Not Collect PII
PTA - 13:	Does the website have any information or pages directed at children under the age of thirteen?	No
PTA - 13A:	Does the website collect PII from children under the age thirteen?	

PTA - 13B:	Is there a unique privacy policy for the website and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
PTA - 14:	Does the system have a mobile application?	No
PTA - 14A:	Is the mobile application HHS developed and managed or a third-party application?	
PTA - 15:	Describe the purpose of the mobile application, who has access to it, and how users access it. Please address each element in your response.	
PTA - 16:	Does the mobile application/have a privacy notice?	
PTA - 17:	Does the mobile application contain links to non-federal government websites external to HHS?	
PTA - 17A:	Is a disclaimer notice provided to users that follow external links to resources not owned or operated by HHS?	
PTA - 18:	Does the mobile application use measurement and customization technology?	
PTA - 18A:	Describe the type(s) of measurement and customization technologies or techniques in use and what information is collected.	
PTA - 19:	Does the mobile application have any information or pages directed at children under the age of thirteen?	
PTA - 19A:	Does the mobile application collect PII from children under the age thirteen?	
PTA - 19B:	Is there a unique privacy policy for the mobile application and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
PTA - 20:	Is there a third-party website or application (TPWA) associated with the system?	No
PTA - 21:	Does this system use artificial intelligence (AI) tools or technologies?	No

Review & Comments

Privacy Analyst Review

OpDiv Privacy Analyst Review Status:	Approved	Privacy Analyst Review Date:	8/22/2023
Privacy Analyst Comment :	In the PIA, "mailing address" is better described as "geo location data" as it is described in PTA 6 as being general location and not a specific address.	Privacy Analyst Days Open:	0

SOP Review

SOP Review Status:	Approved	SOP Signature:	
SOP Comments:		SOP Review Date:	8/22/2023
		SOP Days Open:	0

Supporting Document(s)

Name	Size	Type	Upload Date	Downloads
No Records Found				

Comments

Question Name	Submitter	Date	Comment	Attachment
PTA - 5A	MOSIOS, Joshua	8/14/2023	Please define all acronyms upon first use (SAMS)	
PTA - 6	MOSIOS, Joshua	8/14/2023	Please justify why all the data elements in PTA 5 (both PII and non-PII) are processed by this system.	

Miscellaneous Fields

Sub-Component ISSO:	BLACKBURN, Jason
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