OMB Control No.: 0648-0194
Expiration Date: 10/31/2026

# THE UNITED STATES OF AMERICA
# DEPARTMENT OF COMMERCE
# NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

## *APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP ANTARCTIC MARINE LIVING RESOURCES (AMLR)*

Completion of this application is required to obtain a permit to harvest Antarctic marine living resources under the Antarctic Marine Living Resources Act Convention of 1984 (16 USC 2431 et seq.) and its implementing regulations (50 CFR Part 300 subpart G). A Vessel Monitoring System Certification Statement and Vessel Identification Form (VIF) is attached to this application for each vessel seeking a permit to harvest or transship AMLR species. A Harvesting Information Form (HIF) describing intended fisheries, catch, and operations is attached to this application for a permit to harvest AMLR.

### Harvesting AMLR

Under the provisions of the Antarctic Marine Living Resources Act of 1984, the following person(s) _____ submit(s) this permit application for harvesting resources within the area covered by the Convention on the Conservation of Antarctic Marine Living Resources.

Is this application for a fishery designated by the Commission for the Conservation of Antarctic Marine Living Resources as a: New Fishery_____Exploratory Fishery _____

### Transshipping

Under the provisions of the Antarctic Marine Living Resources Act of 1984, the following person(s) _____ submit(s) this permit application for transshipping Antarctic marine living species, wherever harvested, bait, fuel, or any other goods and materials.

### Signature

Submitted by (Firm or individual):

Signature: _____

Title: _____

Date: _____

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# Vessel Monitoring System Certification Statement For Vessels Engaged in Fishing Activities covered by the Antarctic Marine Living Resources Convention Act

INSTRUCTIONS: This Vessel Monitoring Systems (VMS) Certification Statement for the Vessels Engaged in Fishing Activities covered by the Antarctic Marine Living Resources Convention Act is provided by the National Marine Fisheries Service (NOAA Fisheries) pursuant to the regulatory requirements of 50 CFR 300. This certification statement applies only to type-approved EMTUs and type-approved providers.

Installation checklists will be provided and revised if additional VMS providers are approved. Follow the checklist steps indicated by the vendor for the communications service and transmitting unit selected. The vessel owner or operator must follow the installation procedures when installing or re-installing a NOAA Fisheries-approved VMS unit. The vessel owner is responsible for all installation and activation costs. After completion of installation and activation, the owner may confirm that NOAA Fisheries is receiving position reports by calling NOAA Office of Law Enforcement (OLE) in Silver Spring, MD, at 1-888-219-9228, or by sending email to ole.helpdesk@noaa.gov with "CCAMLR - Position Verification" in the subject line.

The vessel owner must sign the statement certifying compliance with the installation procedures, then submit the certification statement to the NMFS Office of International Affairs, Trade, and Commerce, who will verify through OLE that that unit is activated in the surveillance system, using the following address: NMFS Office of International Affairs, Trade, and Commerce, 1315 East-West Hwy (F/IATC), Silver Spring, MD 20910 (phone: 301-427-8350).

Vessel Name:_____ Vessel Doc. Number:_____

VMS Enhanced Mobile Transmitting Unit Vendor:_____

VMS Communications Service Provider (may be the same entity as above):_____

**Certification**:

In accordance with 50 C.F.R. Part 300, as the owner of a vessel engaged in fishing activities covered by the Antarctic Marine Living Resources Convention Act, I hereby certify that the VMS system on my vessel has been installed in compliance with applicable procedures.

Vessel Owner Name:_____

Vessel Owner Signature:_____Date:_____

Under the provisions of the Paperwork Reduction Act of 1995 (PL 104-13) and the Privacy Act of 1974 (PL 93-579), you are advised that disclosure of the information requested in the Vessel Monitoring System (VMS) certification statement is mandatory for the purpose of managing the Antarctic Marine Living Resources fisheries. The certification statement is used to ensure proper operation of the VMS unit. Reporting burden for the collection of information is estimated to average 4 hours per installation, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. The burden for submission of this certification statement is estimated at 5 minutes per response. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Office of International Affairs, Trade, and Commerce, 1315 East-West Hwy (F/IATC), Silver Spring, MD 20910. Confidentiality of the information provided will be treated in accordance with NOAA Administrative Order 216-100. It is the policy of the National Marine Fisheries Service not to release confidential data, other than in aggregate form, as the Magnuson-Stevens Act protects (in perpetuity) the confidentiality of those submitting data. Whenever data are requested, NMFS ensures the information identifying the pecuniary business activity of a particular individual is not identified. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

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# VESSEL IDENTIFICATION FORM (VIF)

1. VESSEL NAME: _____

2. ALL PREVIOUS VESSEL NAMES AND FLAGS (IF KNOWN):

_____

_____

_____

_____

_____

3. NAME, NATIONALITY, AND ADDRESS OF OWNER(S):

_____

_____

_____

4. NAME AND ADDRESS OF BENEFICIAL OWNERS (IF KNOWN):

_____

_____

_____

5. NAME, NATIONALITY, AND ADDRESS OF LICENSE OWNER (IF DIFFERENT FROM VESSEL OWNER):

_____

6. U.S. COAST GUARD DOCUMENTATION NUMBER: _____

7. PORT OF HAIL: _____

8. VESSEL'S EXTERNAL MARKINGS (ALSO ATTACH THREE COLOR PHOTOS AS SPECIFIED IN THE INSTRUCTIONS BELOW):

9. INTERNATIONAL RADIO CALL SIGN: _____

10. VESSEL'S INTERNATIONAL MARITIME ORGANIZATION (IMO) NUMBER:_____

11. VESSEL TYPE:_____

12. WHERE BUILT:_____

13. WHEN BUILT:_____

14. LENGTH and BEAM: _____

15. GROSS REGISTERED TONNAGE: _____

16. MAXIMUM SHAFT OR HORSE POWER: _____

17. PROCESSING EQUIPMENT:_____

18. HOLD CAPACITY (IN CUBIC METERS - BALE CAPACITY ONLY):

HOLD #1:_____HOLD #2:_____HOLD #3:_____HOLD #4: _____

(IF MORE THAN 4 HOLDS, GIVE TOTAL HOLD CAPACITY)

19. CARRYING CAPACITY (IN TONNES): _____

20. DETAILS OF THE TAMPER-PROOF ELEMENTS OF THE VESSEL'S VMS DEVICE: _____

_____

21. CONFIRM THAT THE VESSEL IS EQUIPPED WITH A FULLY FUNCTIONAL AUTOMATIC IDENTIFICATION SYSTEM (AIS) THAT WILL REMAIN ON AT ALL TIMES WHEN IN THE CONVENTION AREA:

YES [ ] NO [ ]

22. WILL HARVESTING VESSEL BE SUPPORTED BY OR WORKING WITH A FOREIGN VESSEL? _____

IF YES, PROVIDE:

NAME OF THE VESSEL: _____

FLAG OF THE VESSEL: _____

INTERNATIONAL RADIO CALL SIGN: _____

IMO NUMBER: _____

TYPE OF VESSEL: _____

PERMIT NUMBER: _____

ADDITIONAL VESSEL INFORMATION

1. NAME, ADDRESS OF OPERATOR (IF DIFFERENT FROM VESSEL OWNER):

_____

_____

_____

2. NAME, NATIONALITY OF MASTER: _____

3. NAME, NATIONALITY OF FISHING MASTER (WHERE RELEVANT):

_____

4. VESSEL COMMUNICATION TYPES AND NUMBERS:

_____

5. NORMAL CREW COMPLEMENT: _____

6. ICE CLASSIFICATION: _____

7. FREEZING RATE CAPACITY: _____

**VESSEL OWNER'S/APPLICANT'S SIGNATURE**

The undersigned acknowledges that he/she is bound by Federal regulations governing the harvest of Antarctic Marine Living Resources in the waters under the Convention on the Conservation of Antarctic Marine Living Resources. This permit is valid only so long as the undersigned complies with these applicable regulations.

Signature: _____ Date: _____

# HARVESTING INFORMATION FORM (HIF)

Use the space below (and supplemental sheets as necessary) to list each target species for which an AMLR vessel permit is requested and to identify for each: the tonnage requested, CCAMLR statistical area to be fished, gear to be used, and type and amount of bycatch anticipated.

# INSTRUCTIONS FOR COMPLETING APPLICATION FOR PERMIT TO HARVEST and/or TRANSSHIP ANTARCTIC MARINE LIVING RESOURCES (AMLR), VESSEL INFORMATION FORM (VIF), and HARVESTING INFORMATION FORM (HIF)

Complete an application and VIF for each vessel for which a permit is requested. Applicants for a harvesting permit should also submit an HIF. Submit these documents by April 1, before harvesting and/or transshipment activities are to begin. If you are applying to participate in a new or exploratory fishery, additional information is necessary, as described in Section A below. Incomplete, unsigned, or improperly signed applications will be returned for completion.

In the event the modifications or amendments to this application become necessary, please submit a new application.

## APPLICATION FOR PERMIT TO HARVEST AND/OR TRANSSHIP ANTARCTIC MARINE LIVING RESOURCES (AMLR)

A. **Harvest:** If this is an application to harvest AMLR, complete the harvesting line.

New or Exploratory Fisheries: If this is an application to initiate a new fishery or participate in an exploratory fishery, place a check next to either New Fishery or Exploratory Fishery.

*New Fishery.* A new fishery is defined as a fishery that uses bottom trawls on the high seas in the Convention Area or a fishery for a species using a particular method in the Commission for the Conservation of Antarctic Marine Living Resources (CCAMLR) statistical subarea for which: (1) information on distribution, abundance, demography, potential yield and stock identity from comprehensive research/surveys or exploratory fishing has not yet been submitted to CCAMLR; (2) catch and effort data have never been submitted to CCAMLR; or (3) catch and effort data from the two most recent seasons in which fishing has occurred have not been submitted to CCAMLR.

If you are applying to initiate a new fishery, submit an application to the Assistant Administrator no later than April 1 of the year in which you are requesting to fish. Your harvesting vessel application must be accompanied with the following information:

- The nature of the proposed fishery including target species, methods of fishing, proposed region and maximum catches levels proposed for the forthcoming season;
- Biological information on the target species from comprehensive research/survey cruises, such as distribution, abundance, demographic data and information on stock identity;
- Details of dependent and related species and the likelihood of them being affected by the proposed fishery;
- Information from other fisheries in the region or similar fisheries elsewhere that may assist in the evaluation of potential yield;
- If the proposed fishery will be undertaken using bottom trawl gear, information on the known and anticipated impacts of this gear on vulnerable marine ecosystems, including benthos and benthic communities.

*Exploratory Fishery:* An exploratory fishery is a fishery that was previously a new fishery, but has not yet been fully developed. A fishery will continue to be a classified by CCAMLR as an exploratory fishery until sufficient information is available to: (1) evaluate the distribution, abundance, and demography of the target species, leading to an estimate of the fishery's potential yield; (2) review the fishery's potential impacts on dependent and related species; and (3) allow the CCAMLR Scientific Committee to formulate and provide advice to the Commission on appropriate harvest catch levels and fishing gear.

If you are applying to participate in an exploratory fishery, submit an application to the Assistant Administrator no later than April 1 of the year in which you are requesting to fish. Your harvesting vessel application must be accompanied with the following information:

- The nature of the proposed fishery including target species, methods of fishing, proposed region and maximum catches levels proposed for the forthcoming season;
- Specification and full description of the types of fishing gear to be used;
- Biological information on the target species from comprehensive research/survey cruises, such as distribution, abundance, demographic data and information on stock identity;
- Details of dependent and related species and the likelihood of them being affected by the proposed fishery;
- Information from other fisheries in the region or similar fisheries elsewhere that may assist in the evaluation of potential yield;
- If the proposed fishery will be undertaken using bottom trawl gear, information on the known and anticipated impacts of this gear on vulnerable marine ecosystems, including benthos and benthic communities.

If you need assistance in providing this information, please contact the National Marine Fisheries Service, Southwest Fisheries Science Center, Ecosystem Science Division, La Jolla, California at phone (858) 546-5601.

B. **Transshipment:** If this is an application to transship AMLR, complete the transshipment line.

**VESSEL IDENTIFICATION FORM (VIF)**

1. **Vessel Name:** Type in the name of the vessel.

2. **All Previous Vessel Names and Flags (If Known):** Provide all, or as many, of the previous names and flags of the vessel as known

3. **Owner's Name and Address:** Enter the names and mailing addresses of all owners of the vessel. If there is more than one owner, enter one name and address on the VIF and the other names and addresses on attached pages. "Owner" includes any person, company, or government agency that owns the vessels; any charter, whether bareboat, time or voyage; and any person or company that acts in the capacity of a charter, including but not limited to parties to a management agreement, operations agreement, or any similar agreement that bestows control over the destination, function, or operation of the vessel.

4. **Names and Addresses of Beneficial Owners (If Known):** Enter the names and mailing addresses of all beneficial owners of the vessel. If there is more than one beneficial owner, enter the one name and address on the VIF and the other names and addresses on attached pages.

5. **Name and Address of License Owner (If Different From Vessel Owner):** Enter the names and mailing addresses of all owners of the vessel's license. If there is more than one owner of the license, enter one name and address on the VIF and the other names and addresses on attached pages.

6. **U.S. Coast Guard Documentation Number:** Enter the Coast Guard Documentation number of the vessel.

7. **Port of Hail:** Enter the port of registration of the vessel.

8. **Vessel's External Markings:** Attach three color photographs of the vessel of sufficiently high-resolution, color, brightness, and contrast to identify the vessel and all relevant details, consisting of: (a) one photograph showing the starboard side of the vessel displaying its full overall length and complete structural features; (b) one photograph showing the port side of the vessel displaying its full overall length and complete structural features; and (c) one photograph showing the stern taken directly from astern.

9. **Call Sign:** Enter the vessel identifying markings permanently affixed to the vessel, normally the international radio call sign.

10. **Vessel's International Maritime Organization (IMO) Number:** Provide the IMO number issued for the vessel.

11. **Vessel Type:** Enter one of the following vessel types:

| | |
|---|---|
| Stern Trawler | Longliner/Pot |
| (small/0-289 GRT, medium/290-1399 GRT | Longliner/Gillnet |
| large/1,400 plus GRT) | Gillnet |
| Side Trawler | Troller |
| Side Trawler/Purse Seiner | Factory/Mothership |
| Pair Trawler | Cargo/Transport |
| Danish Seiner | Tanker |
| Purse Seiner | Patrol/Cargo |
| Longliner | |

If other than one of the above, specify.

12. **Where Built:** Indicate where the vessel was originally built.

13. **When Built:** Indicate the year the vessel was built.

14. **Length and Beam:** Enter the vessel's overall length and beam to the nearest meter.

15. **Gross Registered Tons:** Enter the registered gross tonnage, GRT, rounded to the nearest metric ton.

16. **Maximum Shaft Power or Horse Power:** Enter the vessel's maximum shaft power (kw at...rev/min) or horsepower.

17. **Processing Equipment:** List the types of fish processing equipment aboard the vessel such as flash freezer, header, eviscerator, filleter, fish meal plant, fish oil plant, surimi plant, cannery, etc.

18. **(Fill out only for a harvesting authorization) Hold Capacity:** Enter in cubic meters the hold capacity for each hold or other space (including flash freezers) in which fish or fish products may be stored. Use **bale** capacity only. If there are more than four (4) holds, give the total hold capacity in cubic meters. Otherwise, list the holds individually. Record this information **accurately;** enforcement officials and CCAMLR inspectors to determine the quantity of fish or fish products aboard the vessel use the data.

19. **Carrying Capacity:** Provide the carrying capacity in tonnes of the vessels' storage capacity.

20. **Details of the Tamper-Proof Elements of the Vessel's Satellite-Linked Vessel Monitoring Device:** Describe the type and configuration of the vessel-monitoring device installed on the vessel. Note: The device must be located within a sealed unit and be protected by official seals (or mechanisms) of a type that indicate whether the unit has been accessed or tampered with. The unit must be capable of preventing the input or output of false positions and not capable of being over-ridden manually, electronically or otherwise.

21. **Automatic Identification System (AIS):** Confirm the vessel has a fully functional AIS that will remain on at all times while in the Convention Area.

22. If the permitted vessels will be supporting or working with foreign vessels that are not permitted by the United States, provide the name of the foreign vessel, the flag of the vessel, the international radio call sign, IMO number, type of vessel, and permit number.

ADDITIONAL INFORMATION

1. **Name and Address of Operator (If Different from Vessel Owner):** Enter the name and mailing address of the operator of the vessel.

2. **Name and Nationality of the Master:** Enter the name and nationality of the master (captain) of the vessel.

3. **Name and Nationality of the Fishing Master:** Enter the name and nationality of the fishing master of the vessel, if the vessel is operating with a fishing master in addition to the master of the vessel.

4. **Vessel Communication Types and Numbers:** Indicate the contact number and provider..

5. **Normal crew complement:** Indicate the normal number of crew on the vessel.

6. **Ice classification:** Only vessels with a minimum classification standard of ICE-IC will be licensed for fishing in high latitude areas (Subareas 88.1 and 88.2).

7. Indicate the **freezing rate capacity**.

## HARVESTING INFORMATION FORM (HIF) INSTRUCTIONS

A. **Target species:** Identify all target species. Categories include: finfish, krill, squid, crab, and other species.

B. **Total Tonnage Requested.** For each species, enter the requested amounts in metric tons. If more tonnage is needed after submission of the first application, another application is required to increase the earlier request. If an additional application is not submitted to increase the tonnage from the initial application, you may only harvest the amount initially requested.

C. **CCAMLR Statistical Areas:** Enter the CCAMLR statistical area(s) (example: Subarea, Division, or Small-Scale Research Unit) where each species will be harvested.

D. **Gear to be used:** Identify the gear which will be used in harvesting each requested species, including details of gear marking, as applicable.

E. **Type and amount of bycatch:** Identify the type and amount of bycatch anticipated to be taken in each Statistical Area while harvesting for each requested species and the planned disposition of the bycatch (i.e., whether it is to be retained or discarded).

**Send completed applications to the following address:**

Assistant Administrator for Fisheries
National Marine Fisheries Service
1315 East-West Highway
Silver Spring, Maryland 20910
Attention: CCAMLR Permit Office

NMFS manages U.S. participation in Antarctic fisheries and the importation of resulting products under the Antarctic Marine Living Resources Convention Act, codified at 16 U.S.C. 2431 et seq. NMFS implements CCAMLR conservation measures by regulations at 50 CFR part 300, subparts A and G. Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Applications for new or exploratory fisheries are estimated to average 28 additional hours. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to National Marine Fisheries Service (F/IATC), 1315 East West Highway, Attention: CCAMLR Permit Office, Silver Spring, Maryland, 20910. Confidentiality of information collected will be subject to NOAA Administrative Order 216-100, “Confidentiality of Fishery Statistics.” Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.