Attachment A: Example Uses of Generic Clearance – Formative Data Collections for ACF Program Support (OMB #0970-0531)

August 2025

Office of Planning, Research, and Evaluation
Administration for Children and Families
U.S. Department of Health and Human Services

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In July 2019, OMB approved the Administration for children and Families' (ACF) request to for a new overarching generic information collection for formative data collections for support of ACF programs. The *Formative Data Collections for ACF Program Support* generic has proved very useful for program offices to collect formative information to support programs, such as information about what programs and grant recipients are doing in response to unexpected events, what needs programs or grant recipients may have so ACF can provide appropriate support, to gather feedback on performance measures for funding recipients, etc. This document provides a list of approved information collections during the previous three-year approval and examples of how the project has benefitted ACF programs or projects.

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Example uses of the Formative Generic for ACF Program Support

The National Technical Assistance Center for Preschool Development Grants B-5 Parent and Early Childhood Professional Partner Groups

In May 2024 the Office of Early Childhood Development (ECD) in ACF sought approval under the *Formative Generic for ACF Program Support* to collect information from parents/family members/guardians and early childhood care and education professionals to learn more about the early childhood program services and experiences of those who benefit from ACF funds. This information collection was necessary work to help ACF gain a better understanding of processes, needs, and potential improvements related to technical assistance and support to Preschool Development Grants Birth Through Five (PDG B-5) grantees at the state level who are working to improve B-5 early childhood coordination and collaboration. The focus of this particular data collection effort was to understand the lived experiences of those who access HHS and ACF birth through five (B-5) mental health supports. This area was recognized as a priority by the administration at that time and supported recent efforts to inform the public on the importance of social-emotional development and infant and early childhood mental health (IECMH).

ECD received approval to conduct several small group (10 -12 participants) listening sessions. The initial questions asked helped us choose group members who reflected the wide range of family and provider experiences with early childhood programs. Questions of family participants helped us understand their relationship to the children, where services were received, whether or not subsidies or other public funding helped enroll their child in a program. Likewise, questions of professionals identified the setting in which they worked, how many years they have been in the field, their current role, and what age group they work with most frequently. These sessions were critically important in informing efforts by federal leadership to advise grantees in enhancing state policies and procedures that result in improving the experiences of children and families in accessing early childhood mental health supports and

services, as well as improving ECCE professionals' experiences while working within those same programs and services. At the conclusion of each meeting, participants were asked to assess their level of participation and the effectiveness of the meeting, along with participant perception of respectful and supportive meeting facilitation, and the feedback received was all positive.

Information to Support Local Evaluations by Personal Responsibility Education Program (PREP) Grant Recipients

The Family and Youth Services Bureau administers grants to Personal Responsibility Education Innovative Strategies Program (PREIS) and Tribal Personal Responsibility Education Programs (Tribal PREP) grantees.

- PREIS supports the development of innovative strategies to prevent teen pregnancy for high-risk, vulnerable, and culturally underrepresented youth populations.
- Tribal PREP supports programming designed to reduce teen pregnancy and birth rates and the spread of STIs for American Indian/Alaska Native (AI/AN) youth.

A requirement for both grants is to conduct rigorous evaluations of the funded programs.

ACF received approval for multiple requests under the *Formative Generic for ACF Program Support* to support grant recipients through targeted and relevant technical assistance.

- **PREP: Promising Youth Programs (PYP) – CONSORT templates**
  - ACF received approval under this generic to collect information on PREIS and Tribal PREP grantees' sample flow and characteristics. This information allowed the contractor to provide targeted evaluation support to the grantees pertaining to recruitment, data collection and plans for analysis. By having grantees submit this information regularly to ACF in the form of two approved templates, we were able to monitor sample and recruitment to ensure grantees were on track to enroll enough participants to have adequate power to conduct analyses intended. By collecting this information on an ongoing basis, grantees were able to complete the required final evaluation report template in a less burdensome way.
- **PREP Local Evaluation Support**
  - The *Formative Generic for ACF Program Support* allowed us to provide all PREIS grantees with a standardized template to document their rigorous impact evaluation design plans. The information in the template guided technical assistance for grantees in designing their evaluations, ensuring that all evaluation designs met ACF's Standards of Rigor required of program grantees. Information from the template has also allowed grantees to complete future templates (e.g., analysis plan template) more easily, as information was already documented as a part of their evaluation design.

TANF Data Collaborative 2.0: Formative Data Collection to Inform Training and Technical Assistance

The Office of Planning, Research, and Evaluation (OPRE) awarded grants to six state and local TANF agencies to strengthen their data analytic capacities to support evidence-informed decision making, particularly as it relates to advancing the economic well-being of individuals and families currently

receiving or eligible to receive TANF services. Participating agency teams are receiving intensive training and technical assistance as part of their grant award. In order to tailor the training and technical assistance to each agency, OPRE gathered input through focus groups and a survey from members of each agency team during an in-person convening. The information collection provided essential information on how to best design and deliver technical assistance by gathering data on each agency's data capacity, interests, needs, and goals. The data was then analyzed by the technical assistance providers who were able to design coaching and technical assistance efforts to be maximally responsive to agencies' goals and learning needs. The technical assistance provider has used the information collected to prioritize a series of webinar trainings, topics covered by coaches during 1-on-1 technical assistance sessions, and the contents of future toolkits.

Sexual Risk Avoidance Education Grantee COVID-19 Interviews

ACF learned through anecdotal reports that Sexual Risk Avoidance Education (SRAE) grant recipients made rapid adaptations to their workspace, staffing, and programming because of the COVID-19 pandemic. However, there had been no systematic collection of information about SRAE grant recipients' adaptations to their workspace, workforce, and programming; youth engagement with programming; and potential youth needs resulting from the pandemic. In response, the Sexual Risk Avoidance Education National Evaluation (SRAENE) aimed to inform ACF about how the pandemic affected grantees' programming and operations and how it would shape programming in the forthcoming 2021-22 program year. Information on pandemic-related changes was necessary for ACF to provide targeted training and technical assistance (T/TA) to SRAE grantees as they plan their future programming.

SRAENE conducted virtual, asynchronous interviews with a purposive sample of all Competitive SRAE and General Departmental SRAE grantees that are direct service providers (~150 grantees). The grant recipient organizations are located in most states, and therefore represent the experiences providing programming in different regions across the country, including varying experiences due to varying COVID-19 restrictions. The interview data revealed vital details on COVID-related changes in the workplace, including staff training, supervision, technology usage, and communication; program implementation, including the number of sites, youth enrollment, and youth engagement; and data collection and evaluation activities. ACF communicated lessons learned from these data with SRAE grant recipients and offered additional T/TA to support grantees as they navigated COVID-related challenges and implemented relevant changes as a result.

Children's Bureau, Child Welfare Information Gateway Subscription Form

Child Welfare Information Gateway (CWIG) is the national information clearinghouse for the ACF Children's Bureau (CB). CB received approval for a subscription profile form under the *Formative Generic for ACF Program Support* to collect information that enables individuals working in child welfare to share details about themselves and their professional interests. This allows CWIG to target messages to specific populations to meet information sharing needs of CB and ACF and allows subscribers to identify topics and subjects relevant to them so that they receive targeted resources to meet their needs. The

generic clearance creates efficiencies in adjusting the form and updating it to reflect the evolving topics and priorities of the child welfare system to ensure communication and services are current and useful. It also facilitates efficiencies in the provision of CWIG services that match the needs of stakeholders.

Individual Requests Since 2022

- Materials for requests are available here: https://www.reginfo.gov/public/do/PRAOMBHistory?ombControlNumber=0970-0531

GenIC Title	# Respondents	# Responses	# Responses per Respondent	Burden Estimate	Hours
"Research Ready Grantee" Intake Form	320	320	1	.08	27
ACF Behavioral Health Survey	500	500	1	0.146	73
ACF Data Request	100	100	1	3	300
ACF Evidence Capacity Support	121	121	1	0.67	80
ACF Privacy and Confidentiality Toolkit Case Studies	33	33	1	1.24	41
Administration for Children and Families External Speaker Request Form	60	60	1	0.5	30
Advancing Best Practices and Cultural Relevance of Healthy Marriage and Responsible Fatherhood Programs for Indigenous Communities (I-HMRF)	100	100	1	1.33	133
Center for States GovDelivery Subscription Form	2,000	2,000	1	0.05	100
Child Care and Development Fund (CCDF) 101: CCDF Fundamentals Pre/Post Self-Assessment	100	100	1	0.33	33
Child Care and Development Fund (CCDF) Application for Intensive Consultation - Information Technology Strategic Roadmap Development	87	87	1	0.195	17
Child Care and Development Fund (CCDF) Information Technology Environment Scan	160	160	1	0.375	60
Child Care and Development Fund (CCDF) Information Technology Matters 1	51	51	1	1	51
Child Care and Development Fund (CCDF) Information Technology Matters 2	51	51	1	1	51
Child Care and Development Fund (CCDF) Information Technology Matters 3	51	51	1	1	51
Child Care and Development Fund (CCDF) Tribal Listening Sessions on Use of Information Technology	150	150	1	1	150
Child Welfare Information Gateway Gov Delivery Subscription Profile Form	1,000	1,000	1	0.05	50
Collection of Information for Local Evaluations as part of the Personal Responsibility Education Program (PREP):	30	30	1	25	750

Promising Youth Programs (PYP) (PREP PYP Part 2 – Local Eval templates)					
Community Injects Related to Local Environmental Justice and Climate Change Impacts	881	881	1	1.22	1,076
Court Improvement Program Court System Structures	7,053	7,053	1	0.25	1,777
Data Collection to Inform a Curriculum Adaptation for the Personal Responsibility Education Program (PREP): Promising Youth Programs (PYP) Project	204	204	1	0.588	120
Diaper Distribution Demonstration and Research Pilot (DDDRP) Administrative Data Infrastructure Survey	35	35	1	0.51	18
Diaper Distribution Demonstration and Research Pilot Baseline Data Collection	10,500	10,500	1	0.065	683
Family Economic Mobility (FEM) Training and Technical Assistance Feedback	500	500	1	0.118	59
Feedback After the Office of Planning, Research, and Evaluation's 2024 Research and Evaluation Conference on Self-Sufficiency (RECS)	174	174	1	0.345	60
Feedback from CLASS Video Pilot	1,262	1,262	1	0.097	122
Feedback from Federal, State, Tribal, Local, and Territorial Human Services Offices on Emergency Preparedness and Response	1,103	1,103	1	1.5	1,655
Feedback on Materials for Father Involvement National Media Campaign	594	594	1	0.24	143
Feedback on the HomVEE Criteria for Evidence-Based Early Childhood Home Visiting Models	73	73	1	1	73
Fiscal Responsibility Act TANF Pilot Program Information Collection	40	40	1	10	400
Fiscal Responsibility Act TANF Pilot Program Information Collection - 2025	25	25	1	10	250
Formative Data Collections for American Rescue Plan (ARP) Act Culturally Specific Domestic Violence and Sexual Assault Supplemental Funding Survey	145	145	1	1	145
Healthy Marriage and Responsible Fatherhood Grantee Data Collection Plan Template (BUILD)	113	113	1	6	678
HMRF Grantee Site Visits and Community Group Listening Sessions to Support Race Equity for Fatherhood, Relationship, and Marriage Programs to Empower Black Families (REFRAME)	168	168	1	1.65	277
Human Trafficking Youth Prevention	11,539	11,539	1	0.23	2658

Education (HTYPE) Demonstration Grant Program Implementation Surveys					
Humanitarian Response Operating Plan Listening Sessions	485	485	1	1	485
Identification of Current Infant and Early Childhood Mental Health Consultation (IECMHC) Services and Points of Contact Across the U.S. and U.S. Territories.	271	271	1	0.587	159
Immigration Legal Service for Afghan Arrivals – Training Experience Survey and Topic-Based Knowledge Assessment	120	120	1	0.642	77
Immigration Legal Services for Afghan Arrivals – Legal Service Provider Interest Form	50	50	1	0.08	4
Immigration Legal Services for Afghan Arrivals – Technical Assistance Interest Form	120	120	1	0.13	16
Information to Inform the Office of Child Care about Implementation of Child Care American Rescue Plan Act (ARPA) Funds - Feb 2023 Update	312	312	1	0.49	153
Information to Inform the Office of Child Care about Implementation of Child Care American Rescue Plan Act (ARPA) Funds for Tribal Lead Agencies – Exploration Stage	265	265	1	1	265
Information to Inform the Office of Child Care about Implementation of Child Care American Rescue Plan Act (ARPA) Funds for Tribal Lead Agencies in the Developing Stage	265	265	1	1	265
Information to Inform the Office of Child Care about Implementation of Child Care American Rescue Plan Act (ARPA) Funds for Tribal Lead Agencies in the Initial and Full Implementation Stages	265	265	1	1	265
Information to Inform the Office of Child Care about Implementation of Child Care American Rescue Plan Act (ARPA) Supplemental Funds – Check-In Call Interview Questions	624	624	1	0.167	104
Justification for the Collection of Information for Local Evaluations as part of the Personal Responsibility Education Program (PREP): Promising Youth Programs (PYP) - (PREIS and TPREP templates)	84	84	1	5	420
Lookback Survey of Wilson Fish TANF Coordination Program Grant Recipients Over the Initial Project Period	21	21	1	0.33	7

Low Income Household Water Assistance Program (LIHWAP) Water Landscape and Equity Research	580	580	1	0.5	290
Multi-Site Study of State-Tribal Collaboration in Home Visiting	42	42	1	1.5	63
National Center on Afterschool and Summer Enrichment (NCASE) Out-of-School Time Workforce Focus Groups	108	108	1	1	108
National Youth in Transition Database (NYTD) State Partners and Supplemental Data Survey	52	52	1	0.327	17
Needs Assessment Survey for the National Center for Early Childhood Development, Teaching, and Learning's (NCECDTL's) Training and Technical Assistance Offerings	345	345	1	0.33	114
Next Generation of Enhanced Employment Strategies Project - MOMS Partnership	1,404	1,404	1	0.182	255
OFA Training and Technical Assistance (TTA)	666	666	1	0.167	111
Office of Child Care Technical Assistance Interest Form: Improving Child Care and Part C Integration or Disability Inclusion in Child Care	56	56	1	0.5	28
Office of Family Violence Prevention and Services Formula Grantees Performance Progress Report (PPR) Listening Sessions	460	460	1	1	460
Office of Family Violence Prevention and Services Outcome Measurement Listening Session	30	30	1	1.5	45
Office of Head Start Program Information Report Data Quality Focus Groups	48	48	1	1.5	72
Office of Refugee Resettlement (ORR) Unaccompanied Children (UC) Program Youth Engagement Focus Groups	50	50	1	2	100
Office of Refugee Resettlement (ORR) Unaccompanied Children Bureau Policy Evaluation Focus Groups	240	240	1	1.25	300
Office of Refugee Resettlement Unaccompanied Alien Children Bureau Care Provider Transportation Services Feedback Survey	216	216	1	0.333	71
Office of Refugee Resettlement Unaccompanied Children Bureau Normalcy Integration Survey	3,600	3,600	1	0.5	1800
Oklahoma Domestic Violence State Coalition Community Participant Listening Sessions	300	300	1	1.58	475
Operation Allies Welcome (OAW)	300	300	1	2	600

Resettlement State Refugee Coordinator (SRC) Monthly Report					
Formative Data Collections for American Rescue Plan (ARP) Act Supplemental Funding Program Support	292	292	1	1	292
ORR - Resource Guides for Discretionary Grant Programs Division of Refugee Services (DRS)	171	171	1	0.667	114
Pandemic Emergency Assistance Fund (PEAF) Recipients Feedback Survey	135	135	1	0.748	101
Pathways to Work Evidence Clearinghouse Feedback Sessions	48	48	1	2	96
Personal Responsibility Education Program (PREP) Local Evaluation Support	24	24	1	3.33	80
Personal Responsibility Education Program (PREP) Local Evaluation Support Instruments	262	262	1	0.70	184
Public Access to Diaper Information	14	1	0.071	7	7
Rapid-Cycle Learning Plan Template for Community Services Block Grant (CSBG) Project Impact Grant Recipients	48	48	1	1.33	64
Refugee Support Services Refugee Health Promotion Set-Aside Programs Assessment Guide	85	85	1	1	85
Refugee Support Services Youth Mentoring Set-Aside Programs Assessment Guides	215	215	1	0.614	132
Runaway and Homeless Youth Learning Agenda Support	430	434	1.01	0.772	335
Runaway and Homeless Youth Prevention Demonstration Program Prevention Plan Template	11	11	1	8	88
Runaway and Homeless Youth Training and Technical Assistance Center (RHYTTAC) National Needs Assessment	440	440	1	0.25	110
Runaway and Homeless Youth Training, Technical Assistance, and Capacity Building Center (RHYTTAC) National Needs Assessment - 2025	235	235	1	0.5	118
SAVES Center Data Collection for Program Improvement	1,464	1,464	1	0.003	4
Services for Survivors of Torture Medical Care Survey	35	35	1	0.657	23
Sexual Risk Avoidance Education National Evaluation Program Components Impact Study: Proof of Concept Pilot Phase	792	792	1	0.424	336
Standard Evaluation Survey for the National Center for Early Childhood Development, Teaching, and Learning's	1,500	1,500	1	0.13	195

(NCECDTL's) Training and Technical Assistance Offerings					
Strategies To Respond to Intimate Violence Effectively (STRIVE)	356	392	1.1	0.446	175
Strengthening the Implementation of Marriage and Relationship Programs (SIMR)	1,320	1,320	1	1.44	1895
Strengthening the Implementation of Marriage and Relationship Programs (SIMR) - Analysis Plan Template	60	60	1	6	360
Strengthening the Implementation of Responsible Fatherhood Programs (SIRF)	851	851	1	3.71	3157
Supporting Coordinated Benefits Delivery to Foster Whole Family Approaches	576	576	1	0.514	296
Supporting Family Economic Well-Being through Home Visiting (HomeEc) Formative Evaluation	876	876	1	0.253	222
Survey for Staff Supporting Infants and Toddlers for the National Center for Early Childhood Development, Teaching, and Learning's (NCECDTL's) Training and Technical Assistance Offerings	600	600	1	0.33	198
Survey on Healthy Marriage and Responsible Fatherhood Practices for Collecting and Monitoring Outreach and Recruitment Data	500	500	1	0.25	125
Survey on Healthy Marriage and Responsible Fatherhood Practices for Collecting and Monitoring Outreach and Recruitment Data	500	500	1	0.25	125
Survey on Low Income Home Energy Assistance Program (LIHEAP) Information Technology (IT) Solutions	51	51	1	2	102
Survey on Tribal Homelessness and Housing Support for Communities that Utilize Tribal TANF	100	100	1	0.33	33
TANF and Child Support Moving Forward: Further Incorporating Family Input	176	176	1	1.31	230
TANF and Child Support Moving Forward: Lessons Learned from the COVID-19 Pandemic	93	93	1	0.925	86
TANF Data Collaborative (TDC) 2.0 – Formative Data Collection	60	60	1	0.667	40
TANF Pilot Convenings	200	200	1	1.5	300
The Adulthood Preparation Subjects (APSs) Study of Dosage and Cultural Relevance	104	104	1	1.29	135
The National Technical Assistance Center for Preschool Development Grants B-5	150	150	1	1.04	156

Parent and Early Childhood Professional Partner Groups					
Title IV-E Tribal-State Agreement Evaluation	40	40	1	0.175	7
Tribal Early Learning Initiative Statement of Interest – FY26 Cohort	45	45	1	2	90
Tribal Early Learning Initiative (TELI) Statement of Interest	45	45	1	2	90
Tribal Home Visiting Continuous Quality Improvement Collaboratives	138	138	1	1	138
Tribal Home Visiting Program Information Collection for Revision to Community Needs Readiness Assessment (CNRA)	15	15	1	1.53	23
Tribal Maternal, Infant, and Early Childhood Home Visiting (TMIECHV) Grantee Technical Assistance (TA) Needs Assessment	38	38	1	1	38
Tribal TANF Institutional Review Board (IRB) Outreach	76	76	1	1.17	89
U.S. Virgin Islands Domestic Violence Territory Coalition Community Participant Listening Sessions	400	400	1	1.69	675
Unaccompanied Alien Children Office of the Ombuds Report of a Concern	600	600	1	0.5	300
Unaccompanied Children Program Care Providers Education Services Survey	169	169	1	0.503	85
United for Ukraine	800	800	1	0.17	136
Usability Testing of Resources to Support the Identification and Care of Children with Prenatal Substance or Alcohol Exposure in the Child Welfare System	21	21	1	17.86	375
Youth Empowerment Information, Data Collection, and Exploration on Avoidance of Sex (IDEAS) – Case Study of Parent Reflections	240	240	1	0.613	147
Totals/Averages:	**66,103**	**65,810**	**-**	**Avg: 1.65**	**31,512**